

# IMMERSIVE VISUALIZATION OF THE QUALITY OF DIMENSIONALITY REDUCTION

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### ABSTRACT:

Dimensionality reduction is the most widely used approach for extracting the most informative low-dimensional features from high-dimensional ones. During the last two decades, different techniques (linear and nonlinear) have been proposed by researchers in various fields. However, the main question is now how well a specific technique does this job. In this paper, we introduce a qualitative method to assess the quality of dimensionality reduction. In contrast to numerical assessment, we focus here on visual assessment. We visualize the Minimum Spanning Tree (MST) of neighborhood graphs of data before and after dimensionality reduction in an immersive 3D virtual environment. We employ a mixture of linear and nonlinear dimension reduction techniques to apply to both synthetic and real datasets. The visualization depicts the quality of each technique in term of preserving distances and neighborhoods. The results show that a specific dimension reduction technique exhibits different performance in dealing with different datasets.

## 1 INTRODUCTION

The volume of Earth Observation (EO) data is increasing on the order of hundreds of terabytes per day. Simultaneously, data mining techniques exhibit significant performance, primarily by representing data by high-dimensional features. For instance, in large scale image retrieval, each image might be represented by a feature vector of the size  $10^5$ .

Although high-dimensional features improve the performance of data mining algorithms, they make knowledge discovery more complex. However, dimension reduction (DR) for visualization is the most widely used approach for visualizing high-dimensional features. Consequently, a huge variety of techniques have been developed to embed the high-dimensional data points into a low-dimensional space. Linear techniques perform the task by projecting data, and nonlinear methods focus on preserving the distances between points during dimension reduction. However, for recent data mining techniques and computer vision applications, distances between points play a key role. For instance, K-means, as one of the most widely used clustering approach, finds the cluster centers by minimizing the distances of data points to cluster centers. In image classification, the distance of feature points to the visual words is considered as similarity measure. Finally, in object localization and scene recognition, distances of data points to a reference point is used to assign them to a set of predefined classes or categories.

Since distances between data points is a key property of datasets, recent dimension reduction techniques try to preserve this property during dimension reduction (Belkin and Niyogi, 2003, Chen and Buja, 2009, Hinton and Roweis, 2002). Hence, to measure the quality of these techniques, several quality measures have been proposed that consider the ability of a technique to preserve the neighborhood as quality criteria. However, these measures do not exhibit qualitatively where the distances are preserved. They

are generally useful to compare a technique with another.

In this paper, we propose to visualize the quality of a DR technique by presenting the Minimum Spanning Tree of a neighborhood graph before and after dimension reduction. A Minimum Spanning Tree can be imagined as a summary of a weighted graph whose edges depict the distance between points. This tree is computed from data points in two states, i.e. before and after dimension reduction. For visualization, we utilize an immersive 3D virtual environment in which the user is allowed to navigate inside the data and explore.

The remainder of this paper is organized as follows: The relevant background about the dimensionality reduction followed by quality assessment criteria are covered in Section 2. The details of immersive visualization system is provided in Section 3. We present the results of various experiments with synthetic and real data in Section 4. Section 5 provides the conclusion.

## 2 RELATED WORK

### 2.1 Dimension Reduction

In dimensionality reduction, a dataset of  $N$  high dimensional points,  $X = \{x_1, x_2, \dots, x_N\}$  is transformed into a low dimensional dataset,  $Y = \{y_1, y_2, \dots, y_N\}$ . Since the last two decades numerous linear and nonlinear DR techniques have been proposed in different research areas. While linear approaches assume the data comes from a linear  $d$ -dimensional subspace of a high dimensional feature space, nonlinear approaches consider the data as a  $d$ -dimensional manifold embedded in a high dimensional space. Perhaps, the most famous linear algorithm is Principal Component Analysis (PCA) projecting data into  $d$  eigenvectors corresponding to  $d$  largest eigenvalues of the covariance matrix of the data.

Nonlinear methods work based on the minimization of an objective function in which some constraints are involved. Among the nonlinear methods, Locally Linear Embedding (LLE) (Roweis

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and Saul, 2000) aims to preserve the topology of data during dimension reduction. It assumes that the data belongs to a low-dimensional smooth and nonlinear manifold embedded in a high-dimensional space. Then the data points are mapped to a lower dimensional space in such a way as the computed linear combination of the data points and their neighbors is preserved.

Laplacian Eigenmaps (LE) (Belkin and Niyogi, 2003) is another nonlinear technique in the domain of spectral decomposition methods. It accomplishes the task by building a neighborhood graph from the given data whose nodes represent data points and edges depict the proximity of neighboring points. This graph approximates the low dimensional manifold embedded in a high dimensional space. The eigenfunctions of the Laplace Beltrami operator on the manifold serve as the embedding dimensions.

Stochastic Neighbor Embedding (SNE) (Hinton and Roweis, 2002) is a probabilistic approach aiming to preserve the neighborhood of data points by working on probability instead of distances between points. More precisely, the neighborhood relation of data points in both high and low dimensional spaces is represented by a probability matrix, in which the closer neighboring points have larger probability values than the farther ones. The sum of the Kullback-Leibler divergences over the probability matrices is used as the cost function in the minimization procedure.

## 2.2 Quality Assessment

Various dimension reduction techniques exhibit different results based on the input data and tuning parameter(s). Evidently, it is vital to evaluate their quality in order to choose a proper one with the right parameter(s) for data mining systems.

Since the majority of DR techniques focus on preserving the local neighborhood distances between data points, state-of-the-art approaches try to improve on the succession of DR techniques in preserving the distances. These approaches can be categorized to four categories. The first group evaluates the performance of DR by the assessment of the value of the cost function after convergence (Bernstein et al., 2000, Belkin and Niyogi, 2003). Clearly, these approaches are useful to compare the results of a specific technique with different set of parameter(s).

The second group focuses on the reconstruction error (Balasubramanian and Schwartz, 2002). However, since the reverse transformation does not exist for all techniques, it is hard to employ these approaches for all DR techniques.

The third group judges DR techniques based on the accuracy of classification applied on labeled data (Van der Maaten et al., 2009). The main drawback of this group is the need for labeled data which is not available in most cases.

Finally, the last group comprises approaches concentrating on preserving the structure of data. The current criteria for the assessment of the preservation of data structure are the local continuity meta-criterion (LCMC) (Chen and Buja, 2006, Chen and Buja, 2009), the trustworthiness and continuity measures (T&C) (Venna and Kaski, 2006), and the mean relative rank error (MRRE) (Lee and Verleysen, 2007, Lee and Verleysen, 2009). All these criteria analyze the neighborhoods before and after the dimension reduction. A recent work has put all these criteria to a single framework to compare them (Lee and Verleysen, 2009). The advantage of this framework is its ability to propose new criteria for the assessment of DR techniques.

## 3 IMMERSIVE VISUALIZATION

### 3.1 Minimum Spanning Tree

The Minimum Spanning Tree is a spanning tree of an edge-weighted graph whose weight is minimum among the weight of other span-

ning trees (Sedgewick, 2002). Several algorithms such as kruskal and Prim algorithms have been proposed to find the minimum spanning tree. The Prime algorithm (the used algorithm in this study) is a greedy algorithm that starts with an arbitrary node of input graph. In a repetition, it chooses an edge with minimal weight such that one of its node is not selected before and adds that node to the list. The algorithm is finished when all nodes are selected. The output is the minimal spanning tree which is a subset of the edges of input graph including all vertexes of graph. Since the edges have positive weight (coming from distances), the minimum spanning tree is a minimum-cost subgraph. In principle, if edges have distinct weights then there will be only one minimum spanning tree.

In dimension reduction, if distances are completely preserved, the minimum spanning trees of neighborhood graphs should not differ. Therefore, by visualizing the two minimum spanning trees superimposed on each other, we can visually assess the quality of DR.

### 3.2 CAVE Automatic Virtual Environment

We propose an immersive 3D virtual environment for visualization of minimum spanning tree of data points. This environment, the so-called Cave Automatic Virtual Environment (CAVE) is based on Virtual Reality technology and comprises four room-sized walls aligned to form a cube to display the low-dimensional features. This configuration allows users to have a 180 degree horizontal view. The virtual scene is projected onto the walls using two projectors per wall in order to have stereoscopic scenarios. Additionally, a real-time tracking system including six infrared cameras mounted on top of the walls computes the pose (position and orientation) of marked objects (e.g., Wii controller and shuttle glasses) inside the cube. See Figure. 1

For rendering and visualizing the data, the CAVE utilizes a three-layer cluster of PCs. The first layer captures user motions and navigation signals and send them to the middle layer. Motion capturing is performed by optical tracking system and the navigation signals are generated by a Wii controller. Middle layer comprises a master PC which is responsible for generating the virtual scene based on the incoming signals from the first layer. Once the scene is ready, it sends rendering signals to the third layer. Rendering and displaying the scene on the walls is carried out by four PCs (one for each wall). The schematic of the organization of the CAVE is depicted in Figure. 2.

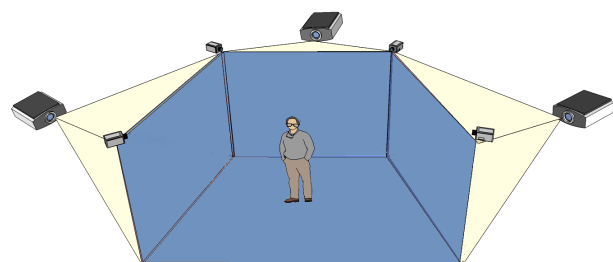


Figure 1: Schematic of CAVE. Four walls play the role of display while projectors are installed behind them. Infra-red tracking cameras mounted on top of walls capture the motion of user.

## 4 EXPERIMENTAL RESULTS

Experiments with synthetic and real data are presented to assess various results, including immersive visualization of Minimum Spanning Tree of neighborhood graphs. Euclidean distances are used for building neighborhood graphs from datasets before and

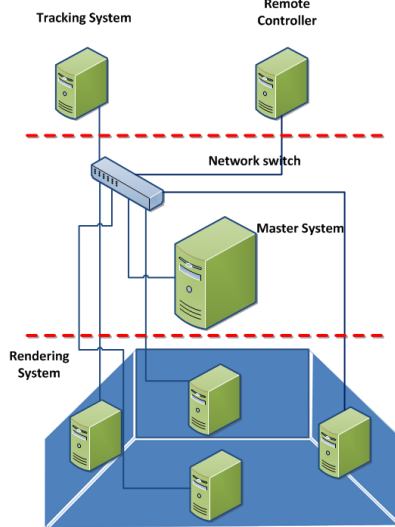


Figure 2: The physical diagram of immersive visualization. The visualization system is composed of three layers with different responsibility. First layer comprises motion capture (tracking) system and control capturing. A master PC in the middle layer for the synchronization, and finally four systems for rendering for each wall of the CAVE. All systems are connected together via an ethernet network.

after dimension reduction. Prim algorithm is used to compute the Minimum Spanning Tree of graphs.

#### 4.1 Datasets

To assess the dimension reduction techniques, we used two synthetic datasets and one real-world dataset.

**4.1.1 Synthetic Data** The synthetic data is comprised of two datasets. The first one is a set of 2000 3D points randomly drawn from a 2D S-curve embedded in 3D. The second one is a set of 2000 3D points randomly drawn from Swiss-roll (see Figure 3).

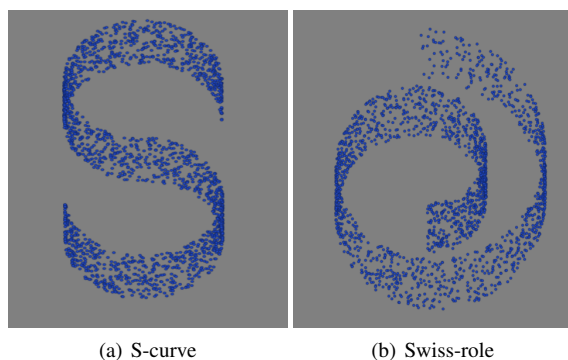


Figure 3: Our synthetic data has been drawn randomly from 2D S-curve and Swiss-roll embedded in 3D.

**4.1.2 Real Data** We used the B.J. Frey face database as our real dataset comprising 1965 pictures of the same face captured in different poses and expressions. The size of each face is  $28 \times 20$  pixels. Therefore, each face is represented by a feature vector of the size 560. Consequently, the input of the dimension reduction process is a matrix whose dimensions are  $1965 \times 560$ . Figure 4 presents some samples from the face database.

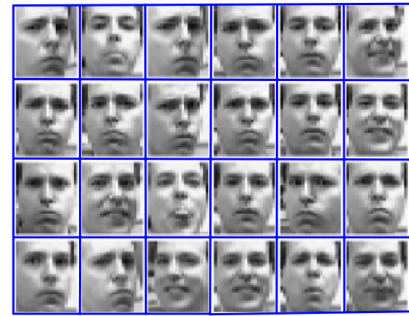


Figure 4: Samples from the face database.

For both synthetic datasets, we used a mixture of linear and non-linear DR techniques, namely PCA, LLE, LE, SNE, and Isomap. The neighborhood parameter for nonlinear techniques was set to 12. First, we constructed the neighborhood graph of datasets with respect to euclidean distances between points. We performed a pre-processing in order to ensure that the distances differ. This was done due to the fact that if the edge weights in a graph are unique then the minimum spanning tree is also unique. The Prim algorithm was used to compute the tree. Second, we applied dimension reduction to both synthetic and real datasets. For synthetic datasets we reduced the dimension from 3 to 2 and for real datasets from 560 to 3. For synthetic datasets, the position of points before dimension reduction were used for 3D visualization which is not important because we are interested in distances between points. For real dataset, the 3D position of points for visualization is actually the output of dimension reduction. The computed trees for different datasets and different dimension reduction techniques are presented in Figures 5, 6, and 7. In these figures the blue points are data points, the green lines depict the edges of minimum spanning tree constructed from neighborhood graphs of data points before dimension reduction, and the red lines depict the edges of minimum spanning tree constructed after applying dimension reduction. In these visualization, if the minimum spanning tree does not change we should not see red lines. Therefore, red lines show how much and where the minimum spanning tree is changed during dimension reduction. For example, in figure 5, we see readily that LE and Isomap exhibit better performance than PCA, LLE, and SNE. Figure 6 shows that PCA, Isomap, and LE exhibit better performance than LLE and SNE. Additionally, LE has a weaker performance relative to PCA and Isomap. These two figures show us that the performance of a specific dimension reduction may vary from dataset to dataset. For instance, PCA exhibits a better performance in dealing with Swiss-roll than S-curve datasets. The same process was carried out on real dataset and the results are depicted in Figure 7.

As it might be understood, 2D figures can not truly show us the performance of a specific dimension reduction technique. For example, in Figure 7 it is hard to compare and evaluate the performance of PCA, LE, and SNE in dealing with real dataset (the face dataset). Therefore, we additionally visualized the results in an immersive 3D virtual environment (CAVE). Some images of the CAVE while the user is looking at the results are depicted in Figure 8. In immersive visualization, the user can easily go inside the data and see where the distances are not preserved. Furthermore, by zooming in and out, the user gets a local or global overview of the performance of the employed dimension reduction technique.

## 5 CONCLUSION

In this paper, we focused on two main points. First, we considered the Minimum Spanning Tree as a summary of neighborhood graphs of data points. Second, we utilized immersive 3D

environment to visualize the Minimum Spanning Trees of data points computed before and after dimension reduction. We found that the performance of a specific technique can not be evaluated alone without considering the dataset that applies to. For instance, one technique can well preserve the distances for one dataset but has poor performance in dealing with another dataset. To have a better understanding of the performance of applied technique, we visualized the Minimum Spanning Tree in an immersive 3D virtual environment in order to navigate inside the data and explore where and how the distances are preserved.

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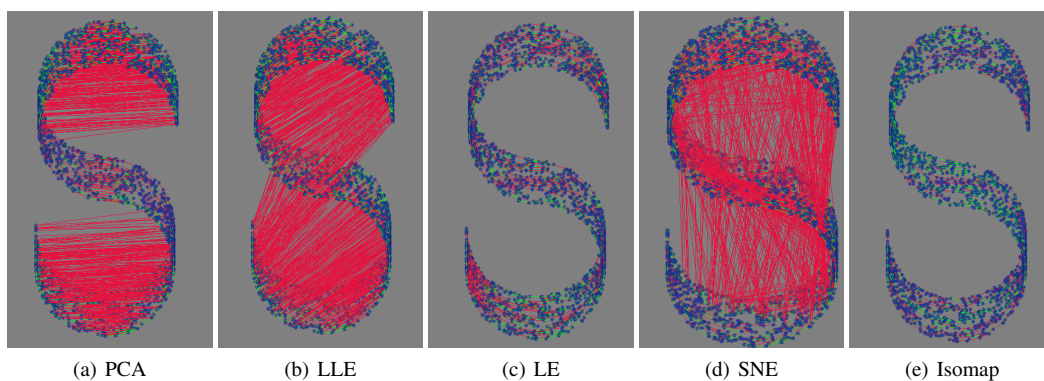


Figure 5: The MST of neighborhood graph of S-curve data points before and after dimension reduction. The green and red lines show the edges of trees before and after dimension reduction, respectively.

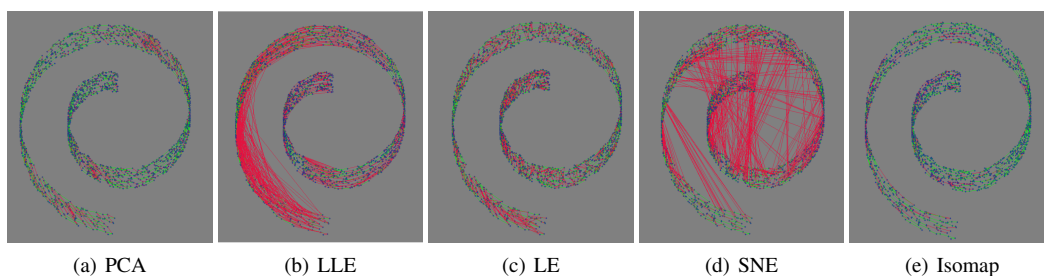


Figure 6: MST of neighborhood graph of Swiss-roll data points before and after dimension reduction. The green and red lines show the edges of trees before and after dimension reduction, respectively.

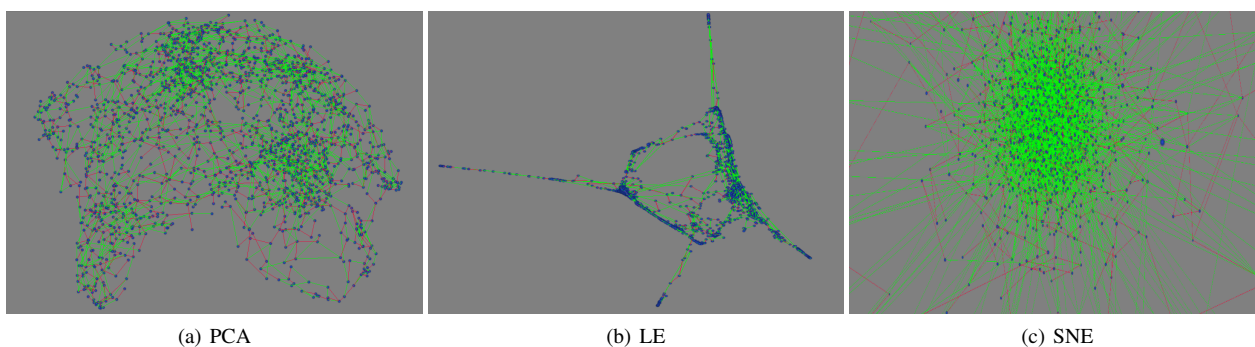


Figure 7: The MST of neighborhood graph of the face data points before and after dimension reduction. The green and red lines show the edges of trees before and after dimension reduction, respectively.

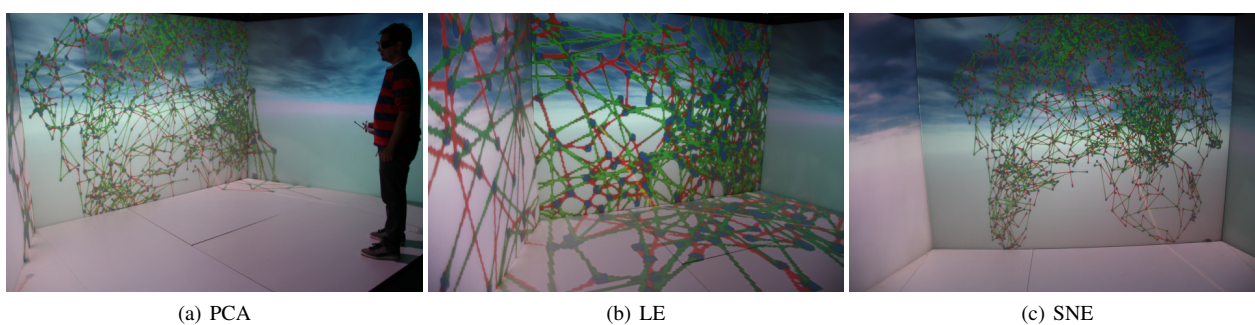


Figure 8: The immersive visualization of the MST of neighborhood graph of the face data points before and after dimension reduction. The green and red lines show the edges of trees before and after dimension reduction, respectively.