VECTORIZATION OF ROAD DATA EXTRACTED FROM AERIAL AND UAV IMAGERY

Dimitri Bulatov, Gisela Häufel, Melanie Pohl

Fraunhofer IOSB, Department Scene Analysis, Gutleuthausstr., 1, 76265, Ettlingen, Germany {dimitri.bulatov,gisela.haeufel, melanie.pohl}@iosb.fraunhofer.de

Commission III, WG III/4

KEY WORDS: Curvature, Classification, Road datbases, Thinning, Topology, Vectorization

ABSTRACT:

Road databases are essential instances of urban infrastructure. Therefore, automatic road detection from sensor data has been an important research activity during many decades. Given aerial images in a sufficient resolution, dense 3D reconstruction can be performed. Starting at a classification result of road pixels from combined elevation and optical data, we present in this paper a five-step procedure for creating vectorized road networks. These main steps of the algorithm are: preprocessing, thinning, polygonization, filtering, and generalization. In particular, for the generalization step, which represents the principal area of innovation, two strategies are presented. The first strategy corresponds to a modification of the Douglas-Peucker-algorithm in order to reduce the number of vertices while the second strategy allows a smoother representation of street windings by Bezir curves, which results in reduction – to a decimal power – of the total curvature defined for the dataset. We tested our approach on three datasets with different complexity. The quantitative assessment of the results was performed by means of shapefiles from OpenStreetMap data. For a threshold of 6 m, completeness and correctness values of up to 85% were achieved.

1. INTRODUCTION AND RELATED WORK

For a large number of both civil and military applications, roads are an essential part of urban infrastructure. Hence, their detection and modeling represent an important step on the semantic reconstruction process of urban terrain in combined optical and elevation sensor data. However, especially in urban environment, extraction of road networks from airborne sensor data is a challenging task. The main challenges are made up by the variety of appearances and road types (sidewalks, tunnel entries, bridges, railways, etc.), occlusions (tree crowns or moving vehicles), shadows, and many others. In particular for the case of elevation maps obtained from aerial images via multi-view dense matching (Hirschmüller, 2008, Rothermel et al., 2012), outliers in street areas which are caused by moving objects and homogeneous road texture are often present.

From the extensive surveys, such as (Mena, 2003), different strategies for extraction of roads from the available sensor data can be adopted. In the case that an initialization of the vector representation for the road network is available, fully-automatic approaches to improve its geometrical correctness by means of snakes whose data term is calculated from the input images (Butenuth and Heipke, 2012) and even 3D laser point clouds (Boyko and Funkhouser, 2011) were proposed. In (Klang, 1998, Turetken et al., 2013), positions of road junctions are detected and used as seed points for an active contour model or as graph nodes. The connections between graph nodes can be determined by searching tubular structures in (Turetken et al., 2013) in 2D or 3D data, after which the topology is modified within a non-local optimization process. However, it remains not always clear what happens to geometry (smoothing curvilinear segments, dealing with their intersections, etc.). Regarding structurally-coherent solutions, (Chai et al., 2013) introduce a method for line-network recovering in images by modeling junction point processes. The approaches of (Hinz and Baumgartner, 2003, Hinz, 2004) already permit a representation of road nets as 2D polygons (level of detail 2). In the first approach, lines obtained from the images and elevation data are grouped into stripes. Finally, road segments and whole networks for roads are iteratively obtained from these stripes. The second approach is a sophisticated scale- and context-dependent procedure in which typical relationships between different object classes are exploited. If one is interested in extraction and vectorization of the centerlines of roads, three main strategies can be observed.

First, the phase-coded-disk-based approach of (Clode et al., 2007) must be mentioned. Here, a coarse filtering of ALS data by relative elevation, intensity and local point density is performed. A binary image R for road class is thus obtained. Next, the convolution of R with a complex-valued filter is carried out in order to extract thick line parameters: centerline, orientation, and width. Following the local maxima of response magnitude (ridges), the coordinates of the centerline pixels are retrieved. Considering the width values, overlapping patches can be found and the topology can be determined. For classification, it is not clear how this method can handle sudden changes of elevation, for example, for roads around tunnels. Furthermore, during the contour tracing step in noisy data, the main problem is to find a balance between the "next" pixel according to the thick line orientation and the "next" pixel with respect to the course of the ridge. Second, Hough transform is employed, for example, by (Hu et al., 2014). In this work, the classification result is required only implicitly. The mean-shift segmentation is applied on the ground pixels which are computed by a simple method. Their 3D coordinates are extended by several features, such as planarity. Then, the isolated road pixels are eliminated with a tensor voting procedure. Only pixels with neighbors forming salient onedimensional patterns are supposed to remain. Hough transform is finally applied to extract arks from these pixels. In this approach, the classification is considered not only during the step of ground points extraction, but also in order to compute the features separating road pixels from the rest. The problem are false alarms by the one-dimensional structures other than streets. Here, not only some training data, but also a better classification of pixels should improve the situation significantly. Finally, we mention skeletonization and medial-axis-based methods as in (Gerke and Heipke, 2008, Mena, 2006, Miao et al., 2013) where fitting of a

smooth curve is aimed, and (Noris et al., 2013), where minimal spanning trees of points near the centerline are analyzed; these points on the centerlines are obtained by clustering of salient (e.g. with respect to their gradient) pixels. In (Mena, 2006), starting from the binary image R representing road pixels, the road centerlines are supposed to have the same distance to at least two points of R. This method is very sensitive to the classification results. Every concavity in R leads first to short, superfluous segments and, additionally, to zigzag-like, improbable street courses. The first way to improve the performance is to put some effort for a better classification result. However, working with 2D regions in a non-local optimization process is computationally expensive. Additionally, even the pioneering approach on road classification, stemming from (Wegner et al., 2015), cannot prevent that in final result, some trees occlude parts of roads. Because of these two reasons, the second way, namely, a post-processing routine of the remaining roads appears to be a promising method.

In this paper, we propose a vectorization procedure for extraction of road networks from a binary road class map. The vectorization procedure is somehow similar to (Miao et al., 2013), but its main focus lies on post-processing of the results of thinning. During an iterative filtering step, all branches of the skeleton are analyzed on their necessity and relevance. The unnecessary branches are evidently deleted. Afterwards, in a generalization step, two strategies are proposed: first, we consider a modification of the algorithm (Douglas and Peucker, 1973). The modified algorithm rectifies the line courses, however performs an additional check to force the rectification to traverse the segmentation results of road class. Within the second approach, the high curvature and ragged course of roads is reduced by performing a transformation of roads into (rational) Bzier curves under consideration of the segmentations for road class. The Bzier curves are discretized to retrieve the correspondence with the road segments.

The paper is structured as follows: in Sec. 2, we refer to the preliminaries on extraction of (N)DSM, DTM, as well as classification results since this knowledge is important to understand our vectorization algorithm, which will be given in Sec. 3. The postprocessing of the resulting road net will be covered in Sec. 4. We show in Sec. 5 computational results for three datasets and give a summary and some ideas for future work in Sec. 6.

2. PRELIMINARIES

Aerial and even UAV-images are a very suitable input for an urban terrain reconstruction procedure. The resolution of such images is rather high compared to airborne laser point clouds and the problem of occlusions is mitigated by means of many redundant views. First, image orientation is performed by a state-of-the-art method, e.g. *Bundler*, (Snavely et al., 2010). Then, computation of a 3D point cloud and finally, creation of a DSM (digital surface model) and an orthophoto takes place by the dense reconstruction pipeline (Rothermel et al., 2012). From DSM, the ground model, also denoted as digital terrain model (DTM), is obtained by the procedure (Bulatov et al., 2014a), Sec. 2.1. The difference between DSM and DTM is denoted as NDSM (normalized DSM).

Two different classification methods as basis for our vectorization procedure are explained in the following. First, subdivision of terrain into several classes (building, tree, grass area, and road) is performed by a procedure similar to that described in (Lafarge and Mallet, 2012). Several measures – relative elevation, planarity, normalized difference vegetation index (NDVI), saturation measure, and entropy – are computed for each pixel. The first two measures are applied on the NDSM while the three latter measures are applied on the orthophoto. Note that in order to mark vegetation pixels, the green channel can be taken instead of the near infrared color for computing NDVI. Hence, trees in shadows tend to belong to the building class while the grass areas in shadows tend to belong to the road class. Then, these measures are collected into energy data terms for all classes. For example, the data term for road pixels should combine a low NDSM and NDVI value, while planarity and saturation values should be high. A smoothness term penalizing differences of labels between neighboring pixels is added to the energy function for which a strong local minimum is found using a non-local optimization method, such as (Hirschmüller, 2008). The resulting label map is post-processed by the median filter. Unfortunately, the classification results have several short-comings. First, there are further objects that are rarely to find in the dataset (earth hills, vehicles, street-lamps, fences, etc) and which, in general, cannot be easily assigned to the "clutter" class of (Lafarge and Mallet, 2012). Second, the linear truncated function, originally applied to convert the measures into the data term, was not suitable for our problem and was replaced by a sigmoid-like function asymptotically approaching 0 and 1. However, the parameters of such a function are not always easy to choose since they often vary over the terrain and should better be subject of a training procedure.

Recently, a pioneering approach for extraction of road pixels from an orthophoto, optionally supported by an elevation map, was proposed by (Wegner et al., 2015). The main innovation of this approach is a global minimization of an energy function with an asymmetric smoothness term. This term heavily exploits the fact that the probability that a path of $N \gg 2$ patches of a class A is interrupted by a path of the complementary class (not A) is extremely low if A is the road class. The approach consists of four main steps. In the first step, image segmentation into superpixels is performed. This is done for compression of image information. It is a balance act between keeping the output as rectangular grid structure and preserving boundaries between the objects in the image. In the second step, the data cost, that is, the likelihood of a superpixel to belong to the class road, is specified using predefined filter banks and elevation information. In the third step, minimum cost paths are formed between superpixels of very low data cost. This is done in order to identify potential roads even though a little number of paths' superpixels is occluded and therefore exhibits high data energy. These paths are input of the non-local optimization based on Conditional Random Fields (CRFs) which makes up the fourth, final step of the procedure. The penalty term for such a path is high if it is interrupted by superpixels belonging to the class *non-road*. A conventional, symmetric 2-clique term penalizing differences of classes of adjacent superpixels is added as well. Because there are only two classes, optimization on such CRF with graph cuts yields a global minimum of the energy function in a reasonable time.

The method performs rather well in identifying the road class, and its short-comings mostly result from the NDSM which was computed by a local method. There are also some trees straddling into the road class and sometimes occluding very narrow paths. However, even this excellent classification needs a vectorization for road representation in most visualization and simulation software applied for city modeling tools, urban planning, training and simulation of rapid response tasks, and many others. The problem of road vectorization, that is, converting road pixels into 1-dimensional shapefiles (polylines, level of detail, LOD1) will be the topic of the following section.

3. MAIN ALGORITHM ON VECTORIZATION

As visualized in Fig. 1, the vectorization process consists of five main steps. In the first step, *preprocessing* of the binary image

R representing the road class is performed by means of morphological operations followed by filling small holes in R (mostly, left by cars) and deleting small isolated segments of R. This is done by applying a *clean* filter – that is, labeling and suppressing regions of too small areas – first on the complement of R and then, on the image R itself. In the second step, we perform thinning, that is morphological skeletonization of the resulting image \bar{R} . As a result, the new binary image is created where only pixels belonging to the medial axis are set to one. After this, these pixels are collected into a set of polylines using the polygonization method (Steger, 1998). However, every concavity and protrusion of the binary image R reflects in a polyline which leads to a high number of short polylines and, since we wish every polyline to represent a road segment, to a very noisy and barely plausible road network. Consequently, the fourth step of our vectorization routine, filtering polylines, will be described in the remainder of the section while the final step, generalization, will be explained in Sec. 4.



Figure 1: Filtering polylines. Top: assessing segments and junctions, bottom: updating topology. See text for more details.

Note that in general, it is not enough to filter out short road segments because some of them may connect to other polylines or bear other important functionality. Together with the geometry, the topology of every polyline as a part of the road net is relevant. In most applications, too short dead-end (terminal) roads are unnecessary and should be deleted. Therefore we calculate for every polyline not only geometry attributes, like length, width, and principal direction, but also topological properties. By computing nearest neighbors for every endpoint of the road, and assessing the number of neighbors within the distance of one pixel, we decide whether a putative road endpoint is a terminal one or belongs to exactly one junction. For every junction, we store its approximate position, the activity status as well as the three road segments incident with it in an additional array. At the beginning, all junctions are set active. As for calculation of geometric attributes for polylines, we determine the length, the dominant direction of a road, as well as its approximate width. The dominant direction results from the principal component analysis (PCA) of the vertices forming the course of a road. The first principal component determines the vector of the dominant direction u of a road while the second (perpendicular) direction u^{\perp} is used to determine the road width. For each vertex of a road, we measure the mean width of both rays starting at it and running parallel to u^{\perp} that lie in the segmentation result using the algorithm of (Bresenham, 1965). The weighted average of these values yields the total value of the road width. To exclude invalid values of widths as it happens at roads on the image boundary or big plazas, we ignore single-vertex widths below or above predefined values (1 m and 40 m). It must be stated that the determination of the dominant direction by means of PCA does not make much sense for arcshaped roads. Thus, also the width values for this kind of roads may become incorrect; hence, stabilizing of road width computation will be part of our future work.

The geometry of a putative road segment is defined to be reliable if the width is bounded between two thresholds (around 3 m and 30 m in urban scenes) and the length exceeds 5 m. These thresholds depend on the definition of what a road segment is which is mostly given by the application. For example, it does not make sense to include the short ways between house entries and main streets into any road database. In a different example, a large parking lot, especially with several trees, provides a large number of road segments which are not necessary. Since we are interested in eliminating the short terminal segments, we define the topology of a putative road object to be reliable if its both ends are incident with an active junction.

The iterative filtering procedure of road objects includes two modules. In the first module, all road segments which are not reliable both with respect to geometry and topology are set inactive. At the same time, all junctions with less than three active incident roads are declared inactive. In the second module, we update the geometry and the topology. All inactive road segments and junctions are deleted. However, for all inactive junctions with exactly two active incident polylines, these segments are merged, and their geometric and topological attributes are recalculated. It is clear that already the first module can be implemented as an iterative procedure. However, the number of its subsequent applications must be small, because without a regular update of geometry and topology, good roads may become partly deleted (for example, in Fig. 2, without updating geometry and topology, first polylines 1, 2, 4, and 9, and finally, the polyline 3 would be deleted). The remaining active road segments represent the output of our vectorization procedure.



Figure 2: Filtering polylines. Top: assessing segments and junctions, bottom: updating topology. See text for more details.

4. GENERALIZATION

After the superfluous parts of the medial axis have been deleted, the main problem is the course of the remaining roads. It is often wriggled as a consequence of objects bordering and overlapping roadsides. For example, there could be convexities caused by pavements spuriously added to the road class and concavities caused by trees occluding roads. Some problems can be mitigated by morphological operations; however, the resulting mask of road areas still remains ragged. The particular difficulty of any straightening method is that it is not easy to establish a cost function and hence to assess the quality of a putative improvement. Theoretically, it is clear that straight road courses are desirable; so are right angles in the junctions. However, not in all situations, these improvements are correct. We present two strategies for generalization of road segments previously obtained. In Sec. 4.1, the modified Douglas-Peucker algorithm will be explained while in Sec. 4.2, we present the method based on approximating polylines by Bzier curves.

4.1 Generalization Based on the Modified Douglas-Peucker Algorithm

The key idea of the method (Douglas and Peucker, 1973) is to bisect recursively the polyline $P = \{p_1, p_2, ..., p_n\}$. We first obtain the furthest vertex from the edge connecting the endpoints of P:

$$d = d_j = \max_i \left(\|p_i, \overline{p_1 p_n}\| \right), \quad j = \arg\max_i \left(\|p_i, \overline{p_1 p_n}\| \right). \tag{1}$$

If d does not exceed a threshold d_{max} , the generalization is concluded by connecting p_1 and p_n . Otherwise after bisection, the same procedure is applied on the two partial polylines $\{p_1, p_2, ..., p_i\}$ and $\{p_i, p_{i+1}, ..., p_n\}$. This algorithm is rather fast and it is global since it starts by considering first the whole polyline and subsequently narrowing the search range. This can also be a disadvantage of the method. Deleting - without a previous check - a large amount of points in the polyline can lead to problems, such as topological inconsistencies and - in particular, for our task to determine road courses - connecting vertices penetrating an obstacle. Therefore, the classification result should be considered additionally to the distance between p_j and $\overline{p_1 p_n}$. We consider a thin rectangle U over the straight line $\overline{p_1 p_n}$ and check to which extend – compared to its total area – U belongs to the road class. The width of U corresponds to the safety distance from the road centerline to the non-road class. In order to narrow the search ranges for $x \in U$, an image fragment corresponding to the bounding box of P should be considered for further computation. Now, for a second threshold t_{\min} , $0 \le t_{\min} \ll 1$, the generalization takes place if and only if

$$d_j < d_{\max} \text{ and } \sum_{x \in U} c(x) > (1 - t_{\min}) \sum_{x \in U} 1,$$
 (2)

where we chose d_{max} and d_{min} (the width of U) to be 10 m and 0.5 m, respectively. The first, intuitive choice of the weights c(x) could be one if the class of the pixel x is road class and zero otherwise. However, in this second criterion, c(x) can be modified for the case we have a classification result not only for the class road, denoted as *strong road class*, but for other classes, targeting full urban terrain reconstruction. We can assume that a road segment could partly pass under a tree or a large vehicle, and denote the tree and vehicle class as a *weak road class*, however not under the grass area or a building. A possible weight function

$$c(x) = \begin{cases} 1, & x \in \text{ strong road class} \\ 0.5, & x \in \text{ weak road class} \\ 0 & \text{ otherwise} \end{cases}$$
(3)

can be further improved in the future, by computing approximate tree crowns positions by means of a state-of-the-art algorithm, (Eysn et al., 2015), extracting information about gates in build-ings, etc.

4.2 Generalization Based on the Curvature of Road Segments

The idea of the second, alternative generalization module is to obtain smoother routes, by approximating the course of every road segment $P = \{p_1, p_2, ..., p_n\}$ by Bzier curves. The curves are able to represent the road course itself and furthermore to smooth their routes. The Bzier curve is discretized at l equidistant points while *l* should be big enough to guarantee a smooth outline but sufficiently small to be in the order of the elements of the road polygonal chain. We take $l = \max(n, 11)$ as suitable. All points are equally weighted at the beginning. Each discretized Bzier curve is a new polygonal chain $B = \{b_1, b_2, ..., b_l\}$. If B runs through a "forbidden area", which can be the complement of either the strong or the weak road class as defined in the previous section, we first determine the edges $e_i = \overline{b_i b_{i+1}}$ of B that traverse this area by means of the Bresenham algorithm. For each such edge, we compute its midpoint m_i and determine the k nearest neighbors of m_j among $\{p_2, ..., p_{n-1}\}$. The number of these neighbors is bounded by k < 3 because three is the minimum number of vertices needed to form a turn. The reason to take only inner neighbors is that the positions of endpoints of a road segment remain unchanged by the Bzier curve. Finally, we increase the weighting of the determined nearest neighbors of m_i by one and repeat these steps until the rational Bzier curve of P no longer penetrates the "forbidden area". Note that the positions of junctions remain fixed - since they are endpoints of single polylines - and hence, there is no need to adjust the neighboring road segments.

5. RESULTS

5.1 Datasets and Qualitative Assessment

The first dataset we processed was a DSM sampled from 647 frames of the UAV-borne video sequence over the village Bonnland in Southern Germany. The orientation of these frames was performed by means of the Bundler software (Snavely et al., 2010) while the computation of the 3D point cloud and DSM is based on the SURE software described by (Rothermel et al., 2012) with modifications indicated in (Rothermel et al., 2014). The resolution of this dataset was around 0.1 m and an area of about $0.6 \times 0.2 \text{ km}^2$ was covered; (the relevant information for this and other datasets is noted in Table 1). Because the effect of shadows was mitigated by using a high amount of input images and by not very high buildings, the extraction of pixels of the road class was a result of threshold decision (by NDSM and NDVI value). The shortcomings of this data are the pathways around the roads, which are separated by thin walls of around 0.5 to 1 m height. Some of these walls were not reconstructed during DSM extraction, others were filtered out through the preparation step of Sec. 3.

The second dataset represents a rather large fragment of the urban area of the city of *Munich* (Germany). Also here, the reconstruction pipeline SURE produced accurate results for the DSM and the orthophoto. However, because of many shadowy areas, the results of classification are noisy. Additional problems emerged in the areas around tunnels, where the problem of 2.5D character of the classification results becomes evident. We were able to discard some of the polylines because their neighboring vertices exhibit too high slopes in DTM, however, not for those with an obstacle in between of the vertices.



Figure 3: Results for the dataset *Bonnland*. Top row: road segments drawn into the orthophoto. Bottom row: detailed result plotted into the orthophoto (left) and the corresponding fragment for the classification mask (black: building class, white: road class, gray: remaining pixels, middle). Bottom right, another example for the method based on Bzier curves. See text for more details.

The third dataset is a part of the inner city of *Graz*, Austria. It was provided by the authors of (Wegner et al., 2015) together with classification results. The road class was extracted very accurately, therefore many short road segments were built during the computation of the skeleton. While the short terminal segments were successfully eliminated during our filtering procedure, the loops built small obstacles, could not be completely avoided. Since these loops are usually not needed for the road databases, the future approach should include recognition of the content of these loops followed by the suitable generalization.

We show in Figs. 3, 4 and 5 the resulting road nets obtained by our procedure for the datasets *Bonnland*, *Graz* and *Munich*, respectively. In all these images and subimages, yellow + orange, blue and green polylines represent the results after applying the algorithm steps of Secs. 3, 4.1, and 4.2, respectively. Dashed lines always denote the polylines discarded in the filtering step of Sec. 3. Red polylines in Fig. 3 denote the road maps obtained from the free geographic data.

As we can see in Figs. 3-5, using Bzier curves as approximation for the initial road segments R leads to much smoother road courses. However, this happens at cost of increasing number of street vertices. The method works locally; it does not degrade the visual result even in the case of incorrect positions of junctions, small, non-oversmoothed obstacles, etc. In the image bottom right of Fig. 3 as well as for the most straight streets in the datasets Graz and Munich, the jagged road course can be smoothed very well. However, as shown in Fig. 4, situation 1, the resulting roads can come up arbitrarily near to the forbidden areas if no care is taken (for example, by morphological operations). Thus, this method is more susceptible to the positions of junctions. On the contrary, the generalization based on the modification of (Douglas and Peucker, 1973) produces a strong compression of results abiding, at the same time to the classification result. This can be seen in situation 2 of Fig. 4, where the actually straight, but partly occluded by trees, segment was kept straight as much as possible by modified procedure of (Douglas and Peucker, 1973). However, as one can see in the case of the small obstacle in Fig. 4,

situation 3, if the choice of d_{max} in Sec. 4.1 is too generous, the topology of the road network is slightly changed; it depends on the applications if such a generalization is desired. It can be concluded that the method based on Bzier curves has its main advantage in the case of winding streets, while the modification of (Douglas and Peucker, 1973) performs especially well for the long polylines affected by noise.

5.2 Quantitative Evaluation

The focus of the quantitative evaluation of street networks is twofold. First, we wish to see the overlap of our result with the ground truth data, and second, the effects of the generalization shall be shown. With respect to the first goal, geo-referenced *OpenStreetMap* (OSM) data were selected as our ground truth and transformed into the coordinate system of the datasets. For the dataset *Munich*, a geo-referencing transformation was available while both other datasets were geo-referenced interactively. The typical geometric measures for the quality of estimated polylines are *completeness* and *correctness*.

In order to measure the correctness for every putative road segment P, we must assess which part of it lies near a street S in a shapefile. The search for candidates is performed by comparing bounding boxes. Next, equally sampled vertices of P are projected onto the edges and vertices of a candidate. The confirmed vertices are those for which the minimal distance lies below a threshold t, between 40 and 60 pixel. An edge is said to be confirmed if its endpoints are confirmed. We say that P is either completely or partially confirmed if either all or, respectively, at least one edge of P are confirmed. The correctness measure is the sum of lengths of all completely confirmed road segments divided by the length of all road segments. We denote this measure by $q(P|_{\mathrm{comp\ conf}})$ and record it in Table 1 together with the number of completely confirmed polylines $n(P|_{\text{comp conf}})$. To obtain the completeness, one could simply permute shapefile and road net. Since S often extends beyond the image domain or lies in the areas where data is not reliable, we decided to compute the number of partially confirmed streets $n(S|_{\text{part conf}})$. Additionally



Figure 4: Results for the dataset *Graz*. Left: road segments drawn into the orthophoto. Top row, middle and right: detailed view of the results of two generalization methods superposed with the classification mask. Bottom row, middle: Functionality of modified procedure (Douglas and Peucker, 1973) in situation 3. Right: results of vectorization procedure superposed with the orthophoto.

Table 1: Results on correctness, completeness (for t = 60 pixels), and generalization performance for all three datasets. In the second row: resolution, area covered in km², number of polylines, and number of streets in the shapefile for each dataset.

Dataset:	Bonnland			Graz			Munich		
Properties:	0.1,0.2 × 0.6,213,11			$0.25, 0.25 \times 0.25, 923, 1173$			$0.2, 0.95 \times 1.2, 720, 977$		
Gen. method	None	DPm	Bz	None	DPm	Bz	None	DPm	Bz
$n(P _{\text{comp conf}})$	61	62	64	788	794	788	663	667	660
$q(P _{\text{comp conf}})$	0.24	0.25	0.30	0.88	0.89	0.89	0.91	0.92	0.91
$n(S _{\text{part conf}})$	11	11	11	976	960	962	685	666	670
$q(S _{\text{part conf}})$	0.85	0.85	0.85	0.81	0.79	0.80	0.73	0.71	0.72
$\sum n$	2496	827	3074	12626	2615	15627	8164	2091	10955
$\bar{\kappa}$, in $^{\circ}$	238	54	55	358	30	60	231	31	59



Figure 5: Results for the dataset *Munich*. Left: road segments drawn into the orthophoto. Top row, middle and right: detailed view of the results superposed with the orthophoto. Bottom row: middle and right: detailed results superposed the classification mask.

to this measure, the sum of the lengths of confirmed edges divided by the sum of lengths of all shapefile segments is denoted as $q(S|_{\text{part conf}})$ and shown in Table 1.

As for the second goal, since both position and activity status of junctions do not change, there are no alterations in the topology and the global geometry. Thus, changes in completeness and correctness between the original and generalized road networks are not significant enough. Since the generalization affects the local geometry, its changes can and should be tracked. While the generalization algorithm of Sec. 4.1 aims to reduce the total number of vertices, this parameter – denoted by $\sum n$ – will be reported in Table 1. For the smoothing routine described in Sec. 4.2, the goal was to minimize the curvature of the output road segment. Hence, our second measure $\bar{\kappa}$ is the average number of curvatures $\kappa(P)$ over all polylines. The curvature $\kappa(P)$ of a polygon $P = \{p_1, p_2, \dots, p_n\}$ is defined, according to (Milnor, 1950), by the sum of angles α_i between the straight-forward direction of a polygon edge $\overline{p_{i-1}p_i}$ and its successor $\overline{p_ip_{i+1}}$ for all inner vertices of P:

$$\kappa(P) = \sum_{i=2}^{n-1} \alpha_i, \quad \alpha_i \in [0, 180]$$
(4)

From Table 1, we see that the most important completeness measure is roughly the same for all datasets. It is slightly higher for the dataset Bonnland, since it is a simple dataset of a rural region, with eleven main roads to be confirmed. As a consequence, the values for correctness suffer (see next paragraph). The results for the dataset Graz, with its highly complicated road system, are of almost the same completeness but much higher correctness. It could further be observed that by decreasing the threshold t, the completeness of Bonnland data falls below that of Graz: buildings further away from the roads contribute to a more wriggling course. Overall, the main explanation for the differences is that only for Graz data, the classification result was computed with the one of the best state-of-the-art approaches on road data classification. Further, minor reasons are: uncertainties in the georeferencing, differences in resolution, and the errors in shapefiles, often present in urban data. Especially it could be observed for the Munich data, in which, additionally, many shapefile streets close to buildings were not confirmed.

The measure for correctness is biased mainly because the definition of street is not explicit; especially in the dataset Bonnland, merely main roads are contained in the shapefile. However, especially for quick response applications, the sideways, secret paths for persons and vehicles, etc., are of essential importance and should not be suppressed. Besides, uncertainties in the georeferencing of both shapefiles and sensor data processing results affects negatively both completeness and correctness. In our previous work (Bulatov et al., 2014b), the road networks from free geographic data, sensor data evaluation results, as well as superposition of both, were analyzed for the dataset Bonnland first by the statistical reasoning approach based on Dempster and Shafer theory (Ziems, 2014) and finally by interactive analysis of both incorrect and unknown segments, see (Bulatov et al., 2014b), using the textured urban terrain model. Through the automatic verification step, there were many unknown segments (since they exhibit too high curvature or are too short compared to standard GIS roads). The interactive verification step indicated that all questioned segments correspond to valid paths in the dataset.

Finally, we have seen that the average curvature of all streets can be reduced by a decimal power by applying the modified algorithm of (Douglas and Peucker, 1973) and the generalization method based on Bzier curves. These produce a slightly increased number $\sum n$ of vertices while the former method reduces

 $\sum n$ to a value of 20 to 25 % without affecting much the course of the roads.

6. SUMMARY AND FUTURE WORK

We presented a fully automatic approach for the road vectorization and generalization. Starting from the sensor data, a binary image reflecting classification results is first obtained. During vectorization, a geometrically and topologically consistent road network could be created while during the generalization step, we succeeded to reduce the total curvature and the number of vertices. To do this, two modules were introduced: a modification of the approach (Douglas and Peucker, 1973) and Bzier curves. Both of these procedures are forced to adhere to the classification result. However, a differentiation between the strong and the weak road classes have been made: the former was used for road detection and the latter for extending search range during the generalization. We have seen that the quality of road networks depends on the classification result: the asymmetric approach due to (Wegner et al., 2015) has yielded better, stabler results than those based on threshold decision or a symmetric classification similar to (Lafarge and Mallet, 2012). A more extensive analysis for classification methods and their parameters for a fixed dataset should follow. As for vectorization, the major short-comings lie firstly in the unnecessary loops and, finally, in the unchanged, often unfavorable positions of junctions, whose simultaneous optimization with considering rectangularity and cardinalities of convergent streets should be considered in the future.

ACKNOWLEDGEMENTS

We express our gratitude to Mathias Rothermel from the Institute of Photogrammetry in Stuttgart for providing us the DSM data for *Bonnland* and *Munich*. The DSM and the orthophoto were computed from registered images by means of the SURE software (Rothermel et al., 2012). We further express our gratitude to Jan-Dirk Wegner for providing the input data and the results of the road detection algorithm (Wegner et al., 2015) for the dataset *Graz.*

REFERENCES

Boyko, A. and Funkhouser, T., 2011. Extracting roads from dense point clouds in large scale urban environment. *ISPRS Journal of Photogrammetry and Remote Sensing* 66(6), pp. 2–12.

Bresenham, J. E., 1965. Algorithm for computer control of a digital plotter. *IBM Systems journal* 4(1), pp. 25–30.

Bulatov, D., Häufel, G., Meidow, J., Pohl, M., Solbrig, P. and Wernerus, P., 2014a. Context-based automatic reconstruction and texturing of 3D urban terrain for quick-response tasks. *ISPRS Journal of Photogrammetry and Remote Sensing* 93, pp. 157–170.

Bulatov, D., Ziems, M., Rottensteiner, F. and Pohl, M., 2014b. Very fast road database verification using textured 3D city models obtained from airborne imagery. In: *Proc. SPIE Remote Sensing*, International Society for Optics and Photonics.

Butenuth, M. and Heipke, C., 2012. Network snakes: graphbased object delineation with active contour models. *Machine Vision and Applications* 23(1), pp. 91–109.

Chai, D., Förstner, W. and Lafarge, F., 2013. Recovering linenetworks in images by junction-point processes. In: *Proc. IEEE Conference on Computer Vision and Pattern Recognition*, pp. 1894–1901. Clode, S., Rottensteiner, F., Kootsookos, P. and Zelniker, E., 2007. Detection and vectorization of roads from lidar data. *Photogrammetric Engineering & Remote Sensing* 73(5), pp. 517–535.

Douglas, D. H. and Peucker, T. K., 1973. Algorithms for the reduction of the number of points required to represent a digitized line or its caricature. *Cartographica: The International Journal for Geographic Information and Geovisualization* 10(2), pp. 112–122.

Eysn, L., Hollaus, M., Lindberg, E., Berger, F., Monnet, J.-M., Dalponte, M., Kobal, M., Pellegrini, M., Lingua, E., Mongus, D. et al., 2015. A benchmark of lidar-based single tree detection methods using heterogeneous forest data from the alpine space. *Forests* 6(5), pp. 1721–1747.

Gerke, M. and Heipke, C., 2008. Image-based quality assessment of road databases. *International Journal of Geographical Information Science* 22(8), pp. 871–894.

Hinz, S., 2004. Automatic road extraction in urban scenes and beyond. *International Archives of Photogrammetry and Remote Sensing* 35(B3), pp. 349–354.

Hinz, S. and Baumgartner, A., 2003. Automatic extraction of urban road networks from multi-view aerial imagery. *ISPRS Journal of Photogrammetry and Remote Sensing* 58(1), pp. 83–98.

Hirschmüller, H., 2008. Stereo processing by semi-global matching and mutual information. *IEEE Transactions on Pattern Analysis and Machine Intelligence* 30(2), pp. 328–341.

Hu, X., Li, Y., Shan, J., Zhang, J. and Zhang, Y., 2014. Road centerline extraction in complex urban scenes from lidar data based on multiple features. *IEEE Transactions on Geoscience and Remote Sensing* 52(11), pp. 7448–7456.

Klang, D., 1998. Automatic detection of changes in road data bases using satellite imagery. *International Archives of Photogrammetry and Remote Sensing* 32, pp. 293–298.

Lafarge, F. and Mallet, C., 2012. Creating large-scale city models from 3D-point clouds: a robust approach with hybrid representation. *International Journal of Computer Vision* 99(1), pp. 69–85.

Mena, J. B., 2003. State of the art on automatic road extraction for GIS update: a novel classification. *Pattern Recognition Letters* 24(16), pp. 3037–3058.

Mena, J. B., 2006. Automatic vectorization of segmented road networks by geometrical and topological analysis of high resolution binary images. *Knowledge-Based Systems* 19(8), pp. 704–718.

Miao, Z., Shi, W., Zhang, H. and Wang, X., 2013. Road centerline extraction from high-resolution imagery based on shape features and multivariate adaptive regression splines. *IEEE Transactions on Geoscience and Remote Sensing* 10(3), pp. 583–587.

Milnor, J. W., 1950. On the total curvature of knots. *Annals of Mathematics* 52(2), pp. 248–257.

Noris, G., Hornung, A., Sumner, R. W., Simmons, M. and Gross, M., 2013. Topology-driven vectorization of clean line drawings. *ACM Transactions on Graphics (TOG)* 32(1), pp. 4.

Rothermel, M., Haala, N., Wenzel, K. and Bulatov, D., 2014. Fast and robust generation of semantic urban terrain models from UAV video streams. In: *Proc. International Conference on Pattern Recognition*, pp. 592–597.

Rothermel, M., Wenzel, K., Fritsch, D. and Haala, N., 2012. Sure: Photogrammetric surface reconstruction from imagery. In: *Proc. LC3D Workshop*, pp. 1–9. Snavely, N. et al., 2010. Bundler: Structure from motion (sfm) for unordered image collections. *Available online: phototour. cs. washington. edu/bundler/, 15 Apr. 2016.*

Steger, C., 1998. An unbiased detector of curvilinear structures. *IEEE Transactions on Pattern Analysis and Machine Intelligence* 20(2), pp. 113–125.

Turetken, E., Benmansour, F., Andres, B., Pfister, H. and Fua, P., 2013. Reconstructing loopy curvilinear structures using integer programming. In: *Proc. IEEE Conference on Computer Vision and Pattern Recognition*, pp. 1822–1829.

Wegner, J. D., Montoya-Zegarra, J. A. and Schindler, K., 2015. Road networks as collections of minimum cost paths. *ISPRS Journal of Photogrammetry and Remote Sensing* 108, pp. 128–137.

Ziems, M., 2014. Automatic verification of road databases using multiple road models. PhD thesis, Leibniz Univ. Hannover.