# ACCURACY VALIDATION OF POINT CLOUDS OF UAV PHOTOGRAMMETRY AND ITS APPLICATION FOR RIVER MANAGEMENT

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#### ABSTRACT:

River administration facilities such as levees and river walls play a major role in preventing flooding due to heavy rain. The forms of such facilities must be constantly monitored for alteration due to rain and running water, and limited human resources and budgets make it necessary to efficiently maintain river administration facilities. During maintenance, inspection results are commonly recorded on paper documents. Continuous inspection and repair using information systems are an on-going challenge. This study proposes a maintenance management system for river facilities that uses three-dimensional data to solve these problems and make operation and maintenance more efficient. The system uses three-dimensional data to visualize river facility deformation and its process, and it has functions that visualize information about river management at any point in the three-dimensional data. The three-dimensional data is generated by photogrammetry using a camera on an Unmanned Aerial Vehicle.

#### 1. INTRODUCTION

River administration facilities such as levees and river walls play a major role in preventing flooding due to heavy rain. Deformation of river administration facilities due to rain and running water should be monitored. Recently, there has been increasing recognition of the importance of river administration facilities and their maintenance. Limited human resources and budget make it necessary to efficiently maintain river administration facilities.

Inspection results are generally recorded as paper records, hindering prompt updates and daily use in operations. There is a need for computerizing these records and accumulating them as a database. River administrators create inspection manuals, and river administration facilities vary according to the features of each river. Inspections should thus be performed after grasping the features of each river. Failure to accumulate historical data related to inspection results will result in river administrations with an insufficient grasp of river features. It is thus necessary to allow for immediate and accurate reference to accumulated inspection data. Remy et al., 2004 state that France counts several thousand kilometers of flood-protection levees. However, the majority of these levees are very old and they are managed by municipalities or individuals. So, huge amount of dates are lost such as history of repair. Therefore, systems which accumulate data related river management are needed.

In current maintenance work, river administration facilities are shown on two-dimensional maps, which are not suitable for grasping river forms. Representing river forms as three-dimensional data allows recording maintenance information at any point in the data. River administrators can furthermore refer the shape of river administration facilities from various points of view.

Using three-dimensional data will thus improve the efficiency of operations and maintenance. In river maintenance, it is necessary to construct an environment that manages three-dimensional data and maintenance information.

This study proposes a river maintenance management system that uses three-dimensional data to solve these problems and efficiently conduct operations and maintenance. The three-dimensional data is generated by photogrammetry using a camera on an Unmanned Aerial Vehicle. This study validates the accuracy of point cloud data generated by UAV photogrammetry. The research was conducted at the Kishiwada civil engineering office on the Kashiigawa River in Osaka Prefecture, Japan.

### 2. RELATED RESEARCH

Using existing plane figures and lateral profiles, Fujita et al., 2015a and Machida et al., 2013 constructed three-dimensional data for use in river structure construction and maintenance. Unlike these studies, the present study uses Unmanned Aerial Vehicle (UAV) to construct three-dimensional data. Fujita et al., 2015b and Yoshida et al., 2015 calculated river deformation and maintenance efficiency using point cloud data. These studies used point cloud data only for showing river shapes and their changes. Tanaka et al., 2015 proposed a visualization environment that uses point cloud data of rivers for maintenance. This study uses three-dimensional data not only for grasping river shapes, but also for recording inspection results. Currently, it can be difficult to find inspection points, because inspection results are recorded on two-dimensional maps. Inspection results recorded in three-dimensional data allow river administrators to better grasp inspection points at any place. Damien et al., 2006 and Pierre et al., 2004 assessed levee condition and registered the result on GIS. And a system which indicates and locates the most critical levee sections which shall be upgraded and maintained in priority. However, these are consideration as two-dimensional. As described above, use of three-dimensional data is important.

# 3. PROBLEM ANALYSIS OF RIVER MAINTENANCE WORK

This section analyzes the inspection manual of Osaka Prefecture (Civil Engineering Division of Osaka Prefecture, 2015) and the results of interviews with river administrators.

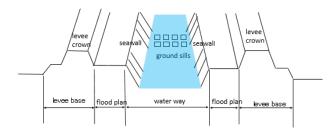


Figure 1. River administration facilities[Yamatogawa River Office, 2015]

#### 3.1 River Administration Facilities

River administration facilities stabilize river flow rates and water levels to prevent flood damage. Figure 1 shows an example of a river administration facility. By Japanese law, river administration facilities include dams, weirs, levees, coastal revetments, water gates, ground sills, trees belts for flood disaster prevention, and other facilities. Coastal revetments are structures that prevent the erosion of levees and riverbanks. Water gates are structures for drainage and prevention of reverse flow. Groundsill structures prevent riverbed erosion.

# 3.2 Interview Results

To grasp current river inspection methods and to identify problems, we interviewed two administrators in the river management division of the Kishiwada civil engineering office, Osaka Prefecture. Questions and responses were as follows:

• What is the most important aspect of river maintenance work?

Constantly monitoring river shape deformation of particular importance is inspecting sediment accretion and deformation of levees and coastal revetments.

• How are inspection results recorded?

Inspection results are recorded on paper documents. Information systems for recording results exist in their office, but remain unused due to usability problems. Recorded inspection results in the system are not referenced prior to inspections.

• How do inspectors identify onsite inspection points? Inspections are based on locations roughly identified on a map. However, identification requires significant time, because inspections are conducted using two-dimensional maps and pictures.



Figure 2. Measurement site

#### 3.3 Problems in river maintenance work

Inspection results are recorded as locations, photographs, and descriptions on two-dimensional maps to grasp abnormalities and shape deformations. It is clearly important in maintenance work that inspections regard facility deformations by analyzing the inspection manual and interview results. River records of the Japanese Ministry of Land, Infrastructure, Transport and Tourism also seriously regard deformation. It is thus important to easily identify deformations. Because current river administration and management is based on two-dimensional maps, it is difficult to grasp the current form and deformation of facilities.

In addition, inspection results are not fully computerized for reference during inspections, and the river records of the Ministry of Land, Infrastructure, Transport and Tourism are not accumulated as a database.

# 4. CONSTRUCTION OF THREE-DIMENSIONAL DATA USING UAV

An UAV was used to survey river administration facilities. Three-dimensional data for the Kashiigawa River were constructed using the Structure from Motion (SfM) range-imaging technique. The measuring range is about 50 meters width, and 150 meters length. Figure 2 shows the Kashiigawa River

#### 4.1 UAV Measurement of River Administration Facilities

A DJI Phantom3 Professional UAV (Figure 3) was used to measure the river administration facility. This is a small, inexpensive and easy to operate UAV. Table 1 shows specifications of the Phantom3 Professional, and Table 2 shows specifications of its camera. In Japan, usage of UAVs in urban areas requires submission and approval of flight plans, so the UAV's flight plan for surveying the Kashiigawa River was submitted to the Kishiwada civil engineering office and approved before flight.

Two observers were situated on the left and right levees and warned pedestrians and cars. UAV operators practiced UAV control several times on our university's grounds. Operators pointed the camera downward and took videos of the river from above. Nine videos totalling around forty minutes were obtained. Photographs were extracted from the video for SfM. The wrap rate of photographs affects the data accuracy. When creating three-dimensional data by SfM, the UAV should have automatic flight functionality to maintain high wrap rates. However, the UAV used does not have automatic flight functionality, so it was manually flown. Figure 4 shows the flight route. The UAV was flown at a constant speed and lateral movement of about 5 meters to maintain a wrap rate of 80%.



Figure 3. Phantom3 Professional UAV

Weight	1,280g
Diagonal scale	590mm
Rising speed	5m/s
Descent speed	3m/s
Accuracy of hovering	Vertical:+/-0.1m Horizontal +/-1m
Max speed	16m/s
Flite time	About 23 minutes

Table 1. UAV specifications

F-2	I ~
Sensor	Sony Exmor 1/2.3" 12.4 pixel
Max image size	$4,000 \times 3,000 \text{ pixel}$

Table 2. UAV camera specification



Figure 4. Flight route



Figure 5. Three-dimensional point cloud data

# 4.2 Construction of Three-Dimensional Data by SfM

SfM is a range-imaging technique that constructs three-dimensional data of an object from images taken from various angles. PhotoScan Professional (Agisoft) was used for SfM. This software can construct three-dimensional point cloud data in the .psz file format from photographs. The three-dimensional data was created as follows:

- 1) An operator takes videos of river administration facilities using the UAV.
- 2) Images are extracted from the video using the VirtualDub video editing software.
- 3) SfM is performed using PhotoScan from the images obtained in step 2).

In step2), 344 images were extracted from about 4 minutes and 1.6GB file size of video. Figure 5 shows three-dimensional point cloud data of the Kishiwada River. Using a computer with an Intel Core i7-4790 4GHz CPU, 32GB memory, and 2GB video memory, it took about 10 hours to generate point cloud data with 25,248,632 points and 1.01GB file size. Highly accurate point cloud data was thus generated using only 344

images. Figure 6 shows point cloud data and camera position in blue



Figure 6. Point cloud data and camera position

#### 4.3 Accuracy Validation of Point Cloud Data

To validate the accuracy of point cloud data, there were two experiments. The target of these experiments is the accuracy of the point cloud corresponding to flight height, number of localization points, number of benchmark points, and the function of PhotoScan software. The accuracy is validated using RMSE (Root Mean Squared Error) and standard deviation with xy plane and altitude of z coordinate. RMSE is calculated using the true coordinate measured by GNSS (Pentax G3100-R2B) and point clouds. The point clouds were generated on the plane ground and the river facilities. The ten targets were set and surveyed using GNSS (Pentax G3100-R2B) to measure true coordinates. The five targets had the true coordinates. The other five targets were the validation points as shown in Figure 7.



Figure 7. Validation point of point cloud data

The flight height is 20, 30, 40, and 50 m over the plane ground and 20 and 25 m over the river facilities. The RMSEs of point clouds of river facilities are 0.115m of 20m height and 0.120m of 25m height on the viewpoint of xy two-dimensional plane as shown in Table 3 and 4. And, the standard deviations are 0.056m of 20m height and 0.070m of 25m height. The RMSE of elevation is 0.152m and 0.300m. The standard deviation is 0.210m and 0.074m. According to these results, the point clouds of UAV photogrammetry have the accuracy for fulfilling the standard deviation requirement 0.12m for map information level defined by Ministry land, infrastructure, transportation and tourism. The point clouds have the capability for river management use.

	xy(m)	z(m)
1	0.064	0.023
2	0.137	0.263
3	0.311	0.306
4	0.204	0.010
5	0.103	0.046
RMSE	0.185	0.182
Standard deviation	0.087	0.128

Table 3. Accuracy validation of UAV height 20m

	xy(m)	z(m)
1	0.121	0.135
2	0.113	0.228
3	0.232	0.239
4	0.062	0.697
5	0.125	0.134
RMSE	0.120	0.300
Standard deviation	0.056	0.210

Table 4. Accuracy validation of UAV height 25m

#### 5. RIVER MAINTENANCE SYSTEM

#### 5.1 System Architecture

There are two problems in current river maintenance work: insufficient management of inspection results and maintenance based on two-dimensional maps. We propose a river maintenance management system that uses three-dimensional point cloud data. Inspection results can be referenced at any three-dimensional point. Inspection location points can be accumulated as a database to link inspection results with three-dimensional data

System users are river administrators and inspectors. Figure 8 shows the system deployment. Inspectors record results in the system on site using a smart device, and can refer to inspection results using a computer in their office or a smart device when on site. They record inspection results and the location of damage or deformations using the Global Navigation Satellite System (GNSS). The point cloud data of this system at different measurement times are controlled and brought into registration of this database based on ground control points using GNSS. According to our other experiments, GNSS has sufficient accuracy. However, it is necessary to verify the accuracy under the environment of the lack of GNSS satellites and ambient surrounding.

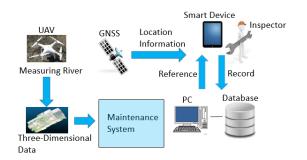


Figure 8. System architecture

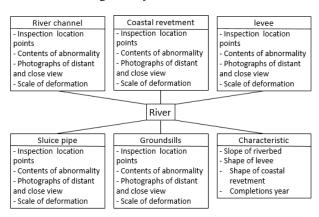


Figure 9. Information accumulated in the system

# 5.2 River Maintenance Information Accumulated in System

River maintenance information of the system was analyzed and organized based on analysis of current maintenance work. Figure 9 shows the class diagram information using the Unified Modelling Language (UML). Main inspection targets are river channels, coastal revetments, levees, sluice pipes, and groundsills, based on inspection manuals. These facilities have detailed information regarding inspection location points, details of target abnormalities and deformations, distant and close-up photographs of the target, deformation scale, and characteristics. Other characteristics include riverbed slopes, levee shapes, coastal revetment shapes, and year of construction.



Figure 10. Point cloud data in TerraDeveloper

#### 5.3 System Development

This system was developed using the TerraDeveloper (Skyline Software Systems) three-dimensional GIS software package which can read three-dimensional point cloud data. Figure 10 shows point cloud data in TerraDeveloper. Provided software APIs allow system developers to create their own functions. The development environment in this study was Microsoft Visual Studio2013 and the C# language.

The user interface for the system was designed taking into consideration usability, in order that changes in the state of river attributes can be checked in three dimensions. Tools for manipulating the point cloud data display for adjusting the viewing position in three dimensional space using the mouse, as well as bird's eye view, move, display all, zoom in, zoom out, and attribute information tools.

#### 5.4 System Functions

The system has four main functions:

1) Information display

The information display function retrieves information from the river database and the spatial data infrastructure, and displays the results. The user requests the information, downloads the retrieved results, and stores them on their computer. The information is four-dimensional information to represent three-dimensional point cloud data and temporal information of river maintenance.

2) Input of inspection results

The system allows input of river maintenance information (Figure 9) at any points in the three-dimensional data. When the user clicks any points of three-dimensional data, the input function is displayed. The user inputs inspection location points, contents of abnormality, photographs of distant and close view and scale of deformation and the data are registered in the

three-dimensional data. TerraDeveloper can get the coordinates of the position. So, the user can resister data at any points. The data which registered are accumulated in the database.

# 3) Reference of inspection results

When inspection results are input, the data is accumulated in the database and markers indicate the location where data was inputted (Figure 11). When the marker was clicked, inspection location points, contents of abnormality, photographs of distant and close view and scale of deformation which inputted in the location were displayed.

#### 4) Visualization of difference of deformation

This function compares two three-dimensional datasets at different measurement times to visualize deformation. At the time of measurement using UAV, datum points are put and measured the coordinate. It is possible to give geodetic coordinates to three-dimensional data. So, it is possible to superimpose two of three-dimensional data.

By function1) and 2), Inspection location points can be accumulated as a database to link inspection results with three-dimensional data. By function3), the deformation of river can be grasped rapidly.



Figure 11. Display markers

#### 5.5 Demonstration of the System

The authors demonstrated the feasibility and operation of the system by using actual data to validate that the system handles the actual volume of data and works as design intended in river maintenance. The demonstration data was about 1.01 GB of Kashiigawa River. The data include information on the shape of river channel, coastal revetment, levee, sluice pipe, and groundsills, as well as information on inspection plans and results, repair decisions, repair results, and attachments. The system was confirmed to handle the actual volume of data and to work as intended in the system architecture design. Therefore, the system has the capability to be applied in practice.

This system can be used to visually explain a construction project to citizens and project participants. The river administrators can share the information stored in the river database with workers carrying out maintenance operations. Therefore, difference of deformation can be used to compare three-dimensional shapes before and after modifications in disaster.

#### 6. CONCLUSION

A river maintenance management system that uses threedimensional data to efficiently and accurately maintain rivers was proposed. First, problems related to maintenance work and information were analyzed through interviews with domain experts. Second, three-dimensional point cloud data of the Kashiigawa River were constructed using photographs obtained by an UAV and SfM software. Third, a system was proposed based on the three-dimensional GIS concept. In future, the system will be implemented and evaluated.

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