

IMPROVED BIOMASS AND BURNING EFFICIENCY FACTORS FOR FOREST FIRE EMISSIONS ESTIMATION IN CENTRAL CHILE

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ABSTRACT:

In an era of increasing wildfires frequency and intensity an accurate estimation of the emissions released to the atmosphere is essential to reduce their impacts. In this study, we improve the accuracy of our estimations by introducing field measurements of biomass and adapting the burning efficiency factors to different levels of burn severity computed from Sentinel-2 data. The biomass measured in the field complemented the data found in the literature. The emissions derived were compared with the emissions from the GFED product showing a good agreement, although GFED values were higher than ours, suggesting that GFED may overestimate the emissions due to their coarse resolution and the generalized factors applied to large ecosystems.

1. INTRODUCTION

1.1 General Instructions

Wildfires across the globe are changing. Fires are more frequent and intense, due to weather and vegetation conditions, which made them harder to extinguish. The fire season is also longer every year, as it was observed in Australia and California in the last years. The current context of climate change is aggravating this situation as the vegetation is drier increasing their flammability (Yebra et al. 2018). On the other hand, there is an increasing interest in the air pollution and its effects on public health. Cases of extreme pollution arose in India, Indonesia and California in 2019 derived from different types of fire (agricultural, peat and forest fires, respectively).

Currently, there are some products at global scale that offer the estimation of the emissions released by biomass burning events, such as GFED (van der Werf et al. 2017), GFAS (Kaiser et al. 2012), or FINN (Wiedinmyer et al. 2011). These global products are provided at coarse resolution (0.1-0.25°) due to the limitations of their input data and the need for computational efficiency.

Methodologically, there are two bottom-up approaches to biomass burning emissions quantification. The first method follows the approach introduced by Seiler and Crutzen (1980), which describes the combustion process by combining burned area, available biomass, burning efficiency factor and emission factors. This approach is used in the emissions estimation performed in the GFED product (van der Werf et al. 2017). The second approach relates the energy released by the fire, through the use of the Fire Radiative Power (FRP), with the amount of dry matter combusted by the fire (Wooster et al. 2015). The GFAS product uses this second approach (Kaiser et al. 2012).

The global emission models commonly use generalized factors assign to large ecosystems, such as boreal or temporal forest, and fixed burning factors that do not account for a variable levels of biomass combustion. These characteristics introduce

uncertainties and might produce overestimation of the derived emissions.

In 2017, 114 active fires burned throughout Chile at the same time on January 26th. These fires spread quickly due to the high temperatures, dry strong winds and low vegetation water content. The fire events burned more than 570,000 ha, from which 20% of the area was endangered native forest (CONAF, 2017).

The Mediterranean sclerophyll forests were the native forest ecosystem of central Chile (30°-35° S) most affected by the fires covering 15% of the total affected area. The Chilean native sclerophyll forests are composed of endemic evergreen tree species specifically adapted to drought conditions. The high concentration of endemism makes this ecosystem a biodiversity hotspot (Myers et al. 2000). The conservation of these forests is crucial to maintain their ecosystem functions, such as reducing air pollution, retaining water in soils, and modulating the climate (Dobbs, Kendal, y Nitschke 2014).

The National Forestry Corporation (CONAF) in Chile performed the estimation of the emissions produced by the fires, reporting a total of 78 million of Ton of CO₂. They applied the IPCC equation for emission estimation, which follows the Seiler and Crutzen's (1980) methodology. The biomass estimation was computed using average data compiled in different areas spread across the country and they consider a constant burning efficiency factor assigned to the major vegetation classes. The dramatic forest fires occurred in Chile in 2017 highlighted the need of precise emission estimations to assess their effect on the public health and warn the population at risk.

The approach presented in this study uses accurate estimations of biomass and adapted burning efficiency values for emissions quantification. Biomass values were obtained from field work performed in the fire-affected area. The burning efficiency factor was adapted using the burned severity estimation obtained from Sentinel-2 data.

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2. METHODOLOGY

2.1 Study area

Our study focuses on the fires that occurred in the 2016-2017 fire season located within the Region of Valparaíso, the Region Metropolitana and the Region of O'Higgins (Chile) (Fig. 1). These fires began on December 26, 2016 and ended on March 17, 2017, with a total of 96 large and intense fires burning in this area. The Chilean Government declared state of emergency on January 20 in central-southern Chile due to multiple intense forest fires burning at the same time.

The three regions have a Mediterranean-type vegetation, which are adapted to rainy and cold winters (June to August), and dry and hot summers (December to March). In the Region of Valparaíso and Region Metropolitana the most affected land cover types were shrublands and sclerophyll forest. On the other hand, in the Region of O'Higgins native vegetation mixes with tree plantations of *Pinus radiata* and *Eucaliptus globulus*. Open forests of evergreen and sclerophyll species are mainly on south exposure slopes (0-1,000 m a.s.l), while *Nothofagus* forests (southern hemisphere beeches) are located at higher elevations (1,000-2,000 m a.s.l). The fire affected 78,177 ha of sclerophyll native forest, 20,780 ha of shrublands and 75,678 ha of other uses, following the current map of land use produced by CONAF.

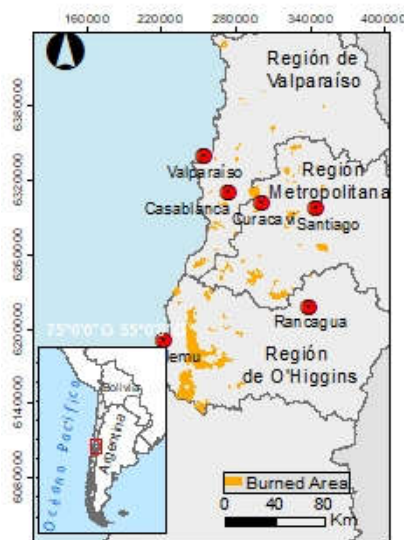


Figure 1. Location map of the three regions considered in this analysis. The burned area occurred in 2017 is represented in orange.

2.2 Sentinel-2 data

We use data from the Multispectral Sensor Imager (MSI) sensor on board Sentinel-2A satellite launch in June 2015 (Drusch et al. 2012). The data was downloaded from the Copernicus Open Access Hub (<https://scihub.copernicus.eu/>, accessed on November 15, 2019). The Sentinel-2A data has an improved spatial, spectral and temporal resolutions in comparison with Landsat images. The spatial resolution varies between 10, 20 and 60 m with a temporal resolution of 10 days.

The L1C product contains 13 bands ranging from the visible region of the spectrum to the red edge, Near Infrared (NIR) and

Short-Wavelength Infrared (SWIR) (Drusch et al. 2012). The L1C images were atmospherically corrected using the Sen2Cor package (Louis et al., 2016) considering a 20 m spatial resolution for all optical bands. To cover the entire area we selected 12 images, 6 pre-fire and 6 post-fire.

2.3 Chilean vegetation cadaster

The vegetation type classification was obtained from the Native forest cadaster of the three Regions considered in this analysis produced by the Chilean National forest Corporation (CONAF). These maps were generated using qualitative analysis based on photo interpretation and field work performed by experienced foresters (CONAF, 2013). The national forest cadaster is a very detail classification of vegetation types distinguishing between several levels of vegetation density. The classification system includes more than 30 land cover categories. Therefore, the original categories were reclassified to 10 vegetation types (Table 3).

2.4 Field data

From November 2018 to March 2019, thirty-nine circular plots of 12.5 m diameter (490 m²) (Table 1) were established in three of the biggest fires located in our study area and their surroundings. The location of the plots was determined to cover different vegetation types and burn severity levels. Inside the circular plots, we identified the species of the individual trees and shrubs in each plot. For those trees and shrubs, we measured the Diameter at Breast Height (DBH) or Diameter at base (DAT) according to their state (alive or dead). By ordering the information by tree size and species we could calculate the Number of trees per ha and estimate the amount of biomass (ton ha⁻¹) and carbon (ton ha⁻¹) for each species.

Table 1. Field data sampled where dasometric measures Diameter at Breast Height (DBH) or Diameter at base (DAT) and Composite Burn Index (CBI) were measure.

Date	Location	Measure	N° of plots
Nov 15th 2018	Nilahue, Region O'Higgins	Dasometric (DBH/DAT)	5
		- CBI	
		CBI	4
Jan 1st to Feb 14th 2019	Pirque, Region Metropolitana	Dasometric (DBH/DAT)	5
		- CBI	
		CBI	11
06-03-2019	Curacaví, Region Metropolitana- Valparaíso	Dasometric (DBH/DAT)	4
		- CBI	
		CBI	3

2.5 Biomass calculation

Using the allometric equations in Table 2 we computed total biomass (kg) and the carbon content (kg) for the species identified in the study area and then added to account for the biomass contain in a plot. An expansion factor of 0.5 was used for the carbon estimation of some species (IPCC 1996). The biomass values computed were then assigned to the land cover type where the plot was located. In the case when there were

several plots in one land cover type, we calculated the average of the values.

Table 2. Allometric equations use for the biomass calculation of the tree species observed in the study area. Where PS: Dry mass (kg), DAP: Diameter at breast height (cm), DAM: Diameter at 1 m (cm), DAT: Diameter at 0,3 m (cm), d: Basal diameter (mm), CT: Total carbon (kg), and f_e : Expansion factor.

Forest species	Allometric equations		Ref.
	Biomass	Carbon	
<i>Acacia caven</i>	$PS = CT * f_e^{-1}$	$CT = 0.0871 * DAP^{2.1416}$	(Cruz et al., 2015)
<i>Quillaja saponaria</i>	$PS = CT * f_e^{-1}$	$CT = 0.0736 * DAP^{2.251}$	(Cruz et al., 2015)
<i>Lithrea caustica</i>	$PS = CT * f_e^{-1}$	$CT = 0.9638 * DAP^{2.0912}$	(Cruz et al., 2015)
<i>Cryptocaria alba</i>	$PS = CT * f_e^{-1}$	$CT = 0.0675 * DAP^{2.352}$	(Cruz et al., 2015)
<i>Trevoa trinervis</i>	$PS = (1.5896 * (DAP*10)^{1.8369}) / 1000$	$CT = PS * f_e$	(Orrego M., 2014)
<i>kageneckia oblonga</i>	$PS = (0.3336 * (DAP*10)^{2.3592}) / 1000$	$CT = PS * f_e$	(Orrego M., 2014)
<i>Colliguaja odorifera</i>	$PS = (0.3336 * (DAP*10)^{2.3592}) / 1000$	$CT = PS * f_e$	(Orrego M., 2014)
<i>Escallonia pulverulenta</i>	$PS = (0.3336 * (DAP*10)^{2.3592}) / 1000$	$CT = PS * f_e$	(Orrego M., 2014)
<i>Eucaliptus globulus</i>	$PS = e^{(2.3177 + (0.1303 * DAP))}$	$CT = PS * f_e$	(Gayoso, J., 2008)
<i>Pinus radiata</i>	$PS = (-5.0506 + 0.8173 * DAP)^2$	$CT = PS * f_e$	(CONAF, 2013)
<i>Maytenus boaria (Mb)</i>	$PS = CT * f_e^{-1}$	$CT = 0.152 * DAP^{2.17}$	(Cruz et al., 2015)
<i>Nothofagus glauca</i>	$PS = e^{(-2.86 + 1.81 * Ln DAP) + (-2.76 + 1.20 * Ln DAP) + (-5.14 + 2.92 * Ln DAP) + (-2.64 + 2.39 * Ln DAP)}$	$CT = PS * f_e$	(CONAF, 2013)
<i>Nothofagus oblicua</i>	$PS = e^{(-2.10779 + 2.3896 * Ln DAP)}$	$CT = PS * f_e$	(CONAF, 2013)

2.6 Burning efficiency factors

The burning efficiency factor was adapted to the burn severity conditions as we assume that the spatial variability in the percentage of biomass consumed is related to the damage produced by the fire. Then, the burning efficiency factor will be higher at higher burn severity values.

We used the dNBR index (difference Normalized Burn Ratio, Key and Benson, 2003), which has been applied profusely in the literature to assess the burn severity of forest fires in different ecosystems [11,12]. We classified the Sentinel-2 based dNBR into four categories of burn severity: low, moderate, moderate high and high. Using the values of CBI measured on the field we adapted the thresholds to classify the

dNBR into the four severity levels plus the unburned class. Then, we assigned an adapted value of combustion efficiency to each of the severity levels by vegetation type by assimilating the damage conditions observed to the value of biomass combusted. Consequently, the combustion efficiency factor values vary from 0.1 in low severity areas of tree cover to 0.98 in high severity areas of grasslands.

2.7 Emissions estimation

We applied the bottom-up method to estimate biomass burning emissions occurred in this region (IPCC 1996; Seiler y Crutzen 1980):

$$L_{fire} = A \times B \times C_f \times G_{ef} \times 10^{-3} \quad (1)$$

Where L_{fire} is the amount of gas emitted from biomass burning measured in tons; A is the burned area (ha); B is the biomass available for combustion (t/ha). C_f is the combustion factor (non-dimensional); and G_{ef} is the emission factor (g/kg).

We used the official CONAF fire perimeters as estimation of burned area, our field measure biomass and values taken from the literature for those vegetation types that were not measured in the field (Table 4), and the burn severity adapted burning efficiency factors (Table 6).

The emission factors were selected from the literature (Table 3). The literature values were assimilated to the vegetation type closer to the original. For example, we assimilated rangelands to savannah and sclerophyll forest to Californian chaparral emission factors.

Table 3. Summary of emission factor used in this study.

Veg. type	CO ₂	CO	NOx	PM _{2,5}	Reference
Open sclerophyll native for.	1710	67	3.26	11.9	(Akagi et al. 2011)
Dense sclerophyll native for.	1710	67	3.26	11.9	(Akagi et al. 2011)
Mixed forest	1637	89	2.51	12.7	(Akagi et al. 2011)
Roble-Hualo native for.	1801.6	41.9	0.56	1.3	(Cereceda-Balic et al. 2017)
Open shrubland	1674	73.8	2.58	7.06	(Yokelson et al. 2013)
Dense shrubland	1674	73.8	2.58	7.06	(Yokelson et al. 2013)
Rangeland	1686	63	3.9	7.17	(Akagi et al. 2011)
Pinus Plantation	1947.5	49.3	0.41	0.84	(Cereceda-Balic et al. 2017)
Eucalyptus plantation	1701.6	38.9	0.58	1.06	(Cereceda-Balic et al. 2017)
Grassland	1630	90	6.5	9.5	(Wiedinmyer et al. 2006)

3. RESULTS AND DISCUSSION

3.1 Biomass estimation

We could not find in the literature biomass values for the Chilean sclerophyll native forests. As we assimilated the emission factors of sclerophyll forest to the factor reported for chaparral, we could've used their biomass value as an approximation. However, the biomass value reported by Akagi et al. (2011) for chaparral is 28.25 ton ha⁻¹ which is half the value of biomass for open sclerophyll forest obtained from field measures. If we were to use the value found in the literature, we would be introducing an overestimation of x2 in the open sclerophyll forest and of x12 in the dense sclerophyll forest.

In the case of *Pinus* and *Eucalyptus* plantations, Briceño et al. (2014) reported biomass values of 103.8 ton ha⁻¹ for *Pinus* plantations and 54.1 ton ha⁻¹ for *Eucalyptus* plantations. The estimations are not so different in the case of *Eucalyptus* plantations. However, the biomass for the *Pinus* plantations is 20% less than our field estimated value. That is why it is essential to have an up to date estimation of biomass of the vegetation different types.

Table 4. Summary of the values of biomass calculated from and field data and obtained from literature review. * The biomass value for mixed forest was calculated as the average of dense sclerophyll forest, pinus plantation and eucalyptus plantation.

Vegetation type	Biomass (Ton ha ⁻¹)	Source
Open sclerophyll native forest	57.76	Field data
Dense sclerophyll native forest	371.32	Field data
Mixed forest	184.17	Field data *
Roble-Hualo native forest	102.81	(Aedo et al. 2011)
Open shrulands	16.00	(Michel et al., 2005)
Dense Shrulands	72.00	(Michel et al., 2005)
Rangelands	56.72	(Mermoz et al., 2014)
Eucalyptus plantation	47.13	Field data
Pinus plantation	134.06	Field data
Grassland	12.50	(Michel et al., 2005)

3.2 Burning efficiency factors

The first step in the adaptation of the burning efficiency factors was the classification of the Sentinel-2 derived dNBR spectral index into severity levels (Table 5).

Table 5. Thresholds of dNBR to obtain burn severity levels.

Severity level	dNBR Value
Not burned	-0.1 – 0.1
Low	0.1-0.27
Moderate	0.27-0.44
Moderate-High	0.44-0.66
High	>0.6

Then, the burning efficiency factors were adapted to the burn severity levels combining the data from the literature and the field measures (Table 6).

Table 6. Adapted burning efficiency factors.

Veg. type	Burn severity levels				Reference
	Low	Mod	Mod.-High	High	
Open sclerophyll native for.	0.2	0.4	0.6	0.75	(Wiedinmyer et al. 2006)
Dense sclerophyll native for.	0.2	0.35	0.5	0.7	(Wiedinmyer et al. 2006)
Mixed forest	0.1	0.2	0.35	0.45	Miranda et al. (2005)
Roble-Hualo native for.	0.1	0.2	0.3	0.4	(Prasad et al. 2001)
Open shrubland	0.25	0.5	0.7	0.84	(Miranda et al. 2005)
Dense shrubland	0.25	0.5	0.7	0.84	(Deeming, Burgan, y Cohen 1977)
Rangelands	0.2	0.4	0.6	0.8	(Wiedinmyer et al. 2006)
Pinus Plantation	0.1	0.2	0.4	0.57	(Deeming et al. 1977)
Eucalyptus plantation	0.1	0.25	0.35	0.47	(Walker 1981)
Grassland	0.83	0.88	0.95	0.98	(Wiedinmyer et al. 2006)

3.3 Emissions estimation

We calculated the emissions produced by the fires occurred in three Regions in Chile for CO₂, CO, NO_x, and PM 2.5 (Table 7). We also report the emissions estimated by GFED 4s (van der Werf et al. 2017). As GFED is a global model with a spatial resolution of 0.25 degree the generalization of the variables, such as biomass values and burning efficiency factors generates an overestimation of the emissions which affects outputs of the models derived from that data. Because of that, compared with our estimations the GFED values are slightly higher than the emissions derived from our calculations.

By adapting the burning efficiency factors, our emission estimations takes into account the different levels of combustion of the biomass in a fire, which is not normally taking into account in global emission models and produce significant overestimation of the emissions (Stenzel et al. 2019).

4. CONCLUSION

We implemented a high-resolution emissions estimation model for Central Chile. We improved the estimations given by global models by obtaining biomass data from the affected areas and introducing burn severity adapted burning efficiency factors to account for the variability in biomass combustion levels found in forest fires.

The differing values of biomass found in the literature for *Pinus* and *Eucalyptus* plantations highlighted the need for updated information about biomass values. In addition, compared with the estimations from GFED our emissions estimations are lower

implying that the generalization of the model and its coarse spatial resolution increase the estimated emissions.

Table 7. Estimated emissions of CO₂, CO, NO_x and PM 2.5 produced by the fires occurred in three Regions in Chile.

Veg. type	Emissions (Gg)			
	CO ₂	CO	NO _x	PM 2.5
Open sclerophyll native forest	2,237.40	87.66	4.26	15.57
Dense sclerophyll native forest	7,629.06	298.91	14.54	53.09
Mix forest	3.62	0.19	0.005	0.2
Roble- Hualo native forest	12.49	0.29	0.03	0.09
Open shrulands	232.54	10.25	0.35	0.98
Dense Shrulands	795.71	29.73	1.84	3.38
Rangelands	320.81	14.14	0.49	1.35
Eucalyptus plantation	326.84	7.48	0.11	0.21
Pinus plantation	2,053.65	52.03	0.43	0.88
Grassland	288.78	15.94	1.15	1.68
Total	13,900.95	516.66	23.20	77.18
GFEDv4s	14,197.39	587.31	30.99	64.16

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