

## CROSS VALIDATION ON THE EQUALITY OF UAV-BASED AND CONTOUR-BASED DEMS

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### ABSTRACT:

Unmanned Aerial Vehicles (UAV) have been widely used for Digital Elevation Model (DEM) generation in geographic applications. This paper proposes a novel framework of generating DEM from UAV images. It starts with the generation of the point clouds by image matching, where the flight control data are used as reference for searching for the corresponding images, leading to a significant time saving. Besides, a set of ground control points (GCP) obtained from field surveying are used to transform the point clouds to the user's coordinate system. Following that, we use a multi-feature based supervised classification method for discriminating non-ground points from ground ones. In the end, we generate DEM by constructing triangular irregular networks and rasterization. The experiments are conducted in the east of Jilin province in China, which has been suffered from soil erosion for several years. The quality of UAV based DEM (UAV-DEM) is compared with that generated from contour interpolation (Contour-DEM). The comparison shows a higher resolution, as well as higher accuracy of UAV-DEMs, which contains more geographic information. In addition, the RMSE errors of the UAV-DEMs generated from point clouds with and without GCPs are  $\pm 0.5\text{m}$  and  $\pm 20\text{m}$ , respectively.

### 1. INTRODUCTION

Digital elevation model (DEM) plays an important roles in soil conservation (Rao et al., 2014), ecological restoration (Liang et al., 2015), mining (Maxwell and Warner, 2015), urban planning (Shi and Yu, 2014), and geological disaster monitoring (Demirkesen, 2012). From a generative perspective, the methods of DEM generation are mainly divided into three categories: field surveying (Jones et al., 2012), aerial photogrammetry (Uysal et al., 2015) and satellite stereo imaging (Mukherjee et al., 2013). Comparing with the later method, the former two methods enable to achieve DEMs with high resolution, thus have been widely used for small area. Moreover, the development of advanced surveying instrument and aerial platforms, i.e., UAVs with the advantages of low-cost and time conservation, has made it easier to obtain DEM of terrain with different surface coverage. Many studies of DEMs generation with both UAV photogrammetry (De Souza et al., 2017; Rock et al., 2011) and contour interpolation (Ardiansyah and Yokoyama, 2002; Arun, 2013) can be found in literature and accuracy assessment of the achieved DEMs has been comprehensively investigated. The accuracy assessment process was mainly performed on distributed points, where using check points as the evaluation criterion of result (Uysal et al., 2015). Nevertheless, the comparison between the aforementioned two methods has little been provided. This paper aims to evaluate the quality of DEMs obtained by UAV photogrammetry and contour interpolation through cross validation. In detail, two validation strategies with regards to point-based and area-based methods are used in this study.

### 2. METHOD

This paper presents a practical methods for efficient DEM construction from UAV images. The framework of the propose method is exhibited in Fig. 1. It contains three main components, namely (a) DEM generation through UAV photogrammetry, (b) DEM generation through contour interpolation, and (c) cross validation on quality of DEMs. The three components of the proposed method are described in detail in the following sections.

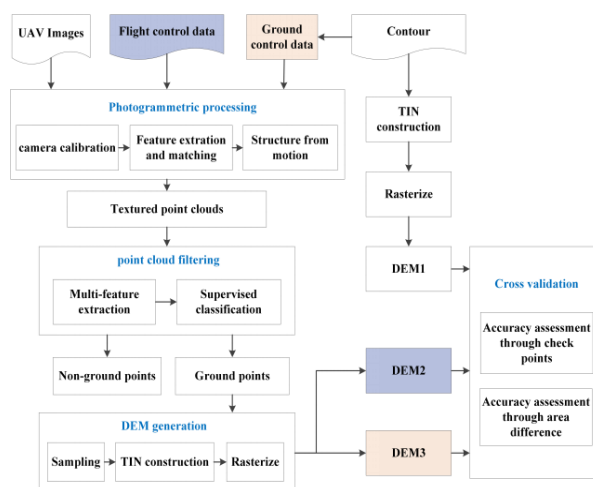


Figure 1. Framework of the proposed method

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## 2.1 DEM Generation through UAV Photogrammetry

We first use a structure-from-motion method to generate a sparse point clouds from UAV images (Torresani et al., 2008). In detail, a set of SIFT features is extracted from multi-view UAV images, followed by camera self-calibration through matching SIFT features (Ke and Sukthankar, 2004). With that, a small number of ground control points (GCPs) are used for bundle adjustment in order to define the correct mapping datum, leading to a set of sparse point cloud in the user's coordinate system (Xu et al., 2016). We then use the Patch-based Multi-view (PMVS2) (Furukawa and Ponce, 2010) to obtain a dense set of point clouds with RGB color.

Next, we filter out the non-ground points from the UAV-derived point cloud by using a supervised classification method. Specifically, a combined feature descriptor with consideration of spectral and geometrical features are extracted from the UAV image-derived point clouds. Based on the feature descriptor, the Support Vector Machine (SVM) (Cortes and Vapnik, 1995) classifier is used in an one-to-all configuration, for its considering its efficiency and extensive implementation.

We then sample the ground points with uniform interval, and construct triangular irregular networks (TIN) using the determination of earth surface structure (DEST) algorithm (Tarquini et al., 2007). Finally, TINs are converted to raster using the liner interpolation method, leading to UAV-DEM (Blu et al., 2004).

## 2.2 DEM Generation through Contour Interpolation

This step aims to generate DEM from digitized contours through ESRI ArcGIS® 10.0. To begin with, we transform the contour lines into a seamless TIN by mathematical calculations. Following that, the rasterization process is performed, result in a DEM, called as Contour-DEM in the rest of this paper.

## 2.3 Cross Validation on Quality of DEMs

We perform a cross validation on the quality of UAV-DEM and Contour-DEM from three aspects. First, we compare the spatial resolution of UAV-DEM and Contour-DEM. Second, we evaluate the accuracy of UAV-DEMs using a set of invariant check points from topographic map. In this process, UVA-DEMs georeferenced with both flight control data (POS) and ground control points (GCPs) are further compared. Third, we evaluate the quality of Contour-DEM on the basis of UAV-DEM from the whole area instead of using individual points.

# 3. STUDY AREA AND DATA ACQUISITION

## 3.1 Study Area

The study area was in the east of Jilin province in China (N44°15'00"~44°15'40", E126°7'48"~126°8'20"). The study area was subordinated to the Songliao Plain, which was characterized by plane terrain and fertile soil. It mainly included two types of coverage with respect to lush vegetation and bare ground with coverage area of 0.32 km<sup>2</sup> (Figure 2).

## 3.2 Data acquisition

**3.2.1 UAV Imaging:** A fixed-wing UAV was employed for image shooting. It was automatically controlled by a ground control station with a predefined trajectory at an average height

of 600 m above the sea level. The flight control data of each flight were obtained by a low-cost flight control system. Overlap degrees in forward and side directions were measured with values of 90% and 70%, respectively. A digital camera SONY DSC-WX220 was used to perform image shooting over the study area. In total of 157 images were collected with size of 4896×3672 pixels from eight parallel routes. The geometrical resolution, indicated by ground sampling distance (GSD) is about 2 cm, which enables all the objects to be clearly identified.

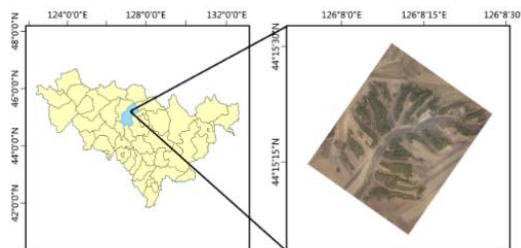


Figure 2. Study area in Jiutai, Jilin Province, China

Parameters of UAV system		Parameters of camera	
Flight altitude	600 m	Model	SONY DSC-WX220
Overlap	90 %/70 %	Pixel size	4896 × 3672
Flight lines	8	Spatial resolution	2 m

Table 1. Specific parameters

**3.2.2 Field Surveying:** Topographic data was obtained through field measurements by GPS-RTK, leading to a maximum error of 1cm for each points. In total of 24 identification points were chosen from 1:50000 contour (Fig. 3), 12 of which were used as ground control points and the remaining points were used as check points.

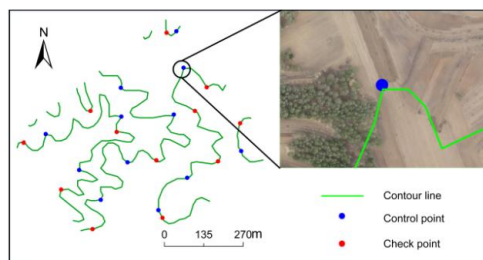


Figure 3. The map of identification points

GCP	X	Y	Z	GCK	X	Y	Z
1	73	6058	240	1	6	6036	240
2	298	6197	250	2	206	6128	250
3	463	6366	250	3	438	6366	250
4	301	6112	240	4	117	5890	240
5	489	6258	260	5	285	6067	240
6	174	5950	240	6	558	6201	260
7	460	6115	250	7	215	5772	250
8	238	5861	250	8	424	5805	260
9	320	5972	250	9	593	5975	260
10	412	5829	260	10	522	6129	260
11	1301	5924	260	11	408	5978	250
12	1463	6007	270	12	659	6090	270

Table 2. Coordinate of the identification points

#### 4. RESULTS AND DISCUSSION

Figs. 4(a-b) display the UAV-DEMs with resolution of 5m, georeferenced by POS data and GCPs, respectively. Fig. 4(c) displays the Contour-DEM with resolution of 5m. Note that, UAV-DEMs reveal more geographic information compared to Contour-DEM, reflecting the varied topographic changes. Specifically, UAV-DEMs clearly and vividly exhibit complete context of undulating topography, while Contour-DEM only reflects the overall trend of decreasing from southwest to northeast. Moreover, the regional elevation range of UAV-DEMs in Figs. 4(a-b) are [227.245, 283.840] and [234.170, 269.574], respectively, whereas the elevation range of Contour-DEM is [240,270].

Fig. 5 shows the accuracy of UAV-DEMs, georeferenced by both POS data and GCPs, respectively. In view of the accuracy assessed through check points, the mean errors in z-coordinate of UAV-DEMs georeferenced with POS data and GCPs are 1.27m and -0.25m, respectively. The result of error distribution confirm that although the former is relatively discrete, applied GCPs contribute to a remarkable improvement of the overall accuracy, with a RMSE error of  $\sim \pm 0.5m$ . From the above experimental result, we can conclude that the UAV-DEM meet the requirement of digital production and geographical application when a small number of GCPs are available.

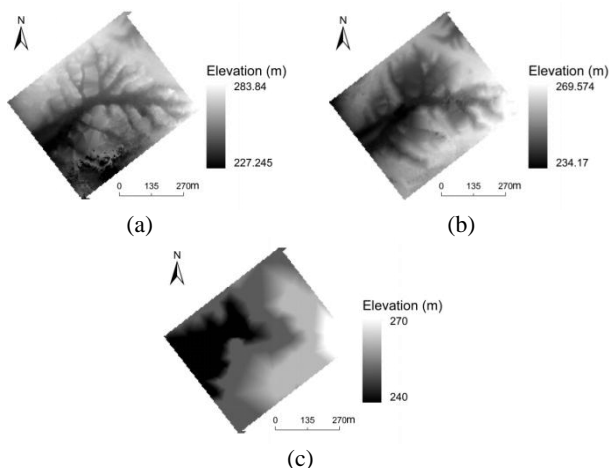


Figure 4. UAV-DEM georeferenced by (a) POS acquired by UAV, and by (b) GCPs, (c) Contour-DEM.

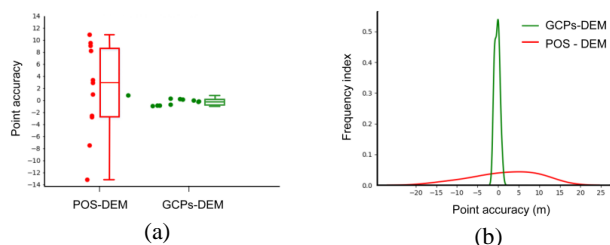


Figure 5. Accuracy of UAV-DEMs, georeferenced by POS and GCPs. (a) indicates the absolute error on the Z coordinate and (b) shows the error distribution

Figs. 6(a-b) shows the comparison between the Contour-DEM and UAV-DEMs, georeferenced by POS data and GCPs, respectively. The comparison results indicate that the terrain

elevation cannot be completely obtained by linear interpolating the measurable contours, leading to significant errors in unmeasurable area. Fig. 6(a) shows a rotational distortion, which is resulted from varied flight control data. However, the difference in Fig. 6(b) were reduced by using evenly arranged control points and consequently improved the accuracy of the spatial elevation variation between Contour-DEM and UAV-DEM. Namely, the conclusion of cross validation reflected the overall accuracy of DEM generation from contour through linear interpolation.

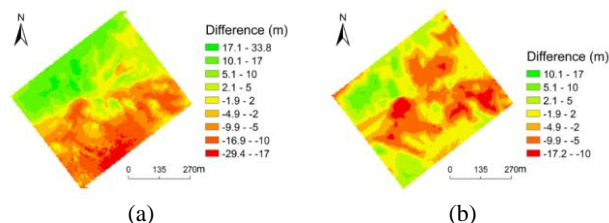


Figure 6. Comparison between Contour-DEM and UAV-DEMs, georeferenced by (a) POS and by (b) GCPs

In addition, Fig. 7. show the frequency histogram of difference values between Contour-DEM and UAV-DEMs, georeferenced by flight control data and ground control points, respectively. Two frequency histogram all nearly follow normal distribution, but the latter was more symmetric, which suggested the difference remain stable.

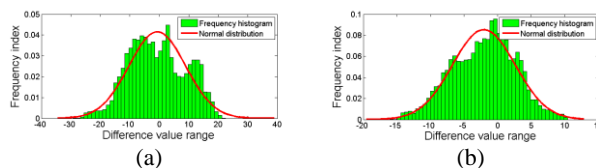


Figure 7. Frequency histogram of difference values between Contour-DEM and UAV-DEMs, georeferenced by (a) POS and (b) by GCPs

#### 5. CONCLUSIONS

This article investigates the feasibility and practicality of UAV applied to DEM generation through advanced photogrammetric techniques. In addition, a number of GCPs considered during multi-vision matching processing has been proved to improve the accuracy of DEM generated from UAV images than using flight control data (POS). The better result shows the accuracy assessed reference check points has achieved the level in decimeter, which is acceptable for overwhelming majority geographical research and engineering production based on DEM. Conclusively, UAV-DEM is extremely alike to the true geo-digital elevation model. A comparison of Contour-DEM with UAV-DEM reveal that DEM generated from contour linear interpolation inevitably has elevation deviation due to conditional limitations of traditional field measurements. It proves the superiority of DEM construction using UAV images. Further studies may focus on simplifying the introduced framework for rapid and automatic UAV-DEM construction and optimizing algorithms so as to improve the accuracy of outcomes.

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