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THE PRESENCE OF GEOPHYSICAL LOADINGS IN GPS OBSERVATIONS USING GENERAL LEAST SQUARES APPROACHES

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ABSTRACT:

The earth's crust undergoes natural deformation due to the geophysical loadings that consist of the earth body tide, ocean tide loading, atmospheric pressure loading and pole tide. This periodic displacement is generated by the changes of the gravitational attraction between the moon and the sun acting upon the earth's rotation, along with the temporal atmospheric changes and the variability of the ocean tide. The study of the geophysical loadings is important in the geodesy field as the magnitude of the signals is significant and can contribute to errors in space geodetic measurements such as Global Positioning System (GPS), Very-Long Baseline Interferometry (VLBI) and Altimeter. This study is conducted to evaluate the percentage of geophysical loadings in GPS observations by adopting general least square approaches. The presence of the geophysical loadings indicates that as many as 76% to 93% of the geophysical loading signals are contained in the GPS time series. The findings reveal that earth body tide signals are more significant if compared to ocean tide loading signals because the magnitude of the earth body tide is greater than that of the ocean tide loading and it affects the coordinate system particularly at up component. Results illustrated the potential of GPS to provide the local parameters of the geophysical loadings that are beneficial for earth tidal modelling and that can be used to improve the quality of space geodetic measurements.

1. INTRODUCTION

Malaysian country is exposed to various types of natural hazards such as land subsidence, landslides, earthquakes and natural deformation phenomena as it close to the one of the most active seismic plate boundaries between the Indo-Australian and Eurasian Plate. This exposure indicates the implication toward the coordinate system, geodetic positioning, and deformation study in this country.

Generally, the realization of the reference coordinate system used in the satellite geodesy demands a good understanding about the dynamic of the earth. As eloquently stated by Abidin (2001), there are three types of earth dynamic that affect the coordinate system in satellite geodesy, which are the earth orbit around the sun alongside with the other planets, the earth rotation about its axis and the relatively slow movement of the earth's crust. The rotation of the earth has been affected by the gravitational force between the moon and the sun, the geophysical movement of mass distribution within the solid earth, ocean tide loading, atmospheric loading, and plate tectonic motions as shown in Figure 1 (Dickey, 1995; Abidin, 2001).

Furthermore, the gravitational attraction between the moon and sun along with the ocean tide water movement referred as earth body tide and ocean tide loading, respectively, periodically load and unload the solid earth causing the changes of displacement, tilt and gravity. The space geodetic measurements consist of GPS, VLBI and Altimeter required the correction from the tidal effect of geophysical loadings to maximize their extensive use in geodesy and geophysical studies such as monitoring the variations of mean sea level and quantifying the vertical land motion (Agnew, 2009).



Figure 1. The forces that affect the earth rotation and the coordinate system (Dickey, 1995).

Conventionally, the precise point measurement of tidal response is commonly measured by various instruments such as superconducting gravimeters, strain meter and tilt observations. However, the rising cost of operation, the less data provided, the sparse distribution of station and the difficulty of accessing the best location for low-noise site causes inconsistent observations to expose the spatial heterogeneity of the solid earth tidal field (Ito et al., 2009). With the advent of precise space geodetic techniques such as GPS and VLBI, the study of the interior of the earth from the surface observations of the loading effects can provide the consistency of observations in revealing the spatial heterogeneity of the solid earth tidal field. In this study, the technology of GPS is utilised to investigate the presence of geophysical loadings as Malaysia has 78 GPS Continuously Operating Reference Station (CORS) stations distributed between 30 to 120 km apart since the VLBI stations are limited in number, with only 35 stations available worldwide (Ito et al., 2009; Jamil et al., 2010; Penna et al., 2015).

2. GPS OBSERVATION AND METHODOLOGY

2.1 GPS in Geophysical Loadings Study

The GPS stations are susceptible to the periodic displacement due to the geophysical loadings. Several studies utilised GPS to determine the geophysical characteristics of the tidal variations, *i.e.* ocean tide loading, earth body tide and earth tide which is the summation of the earth body tide and ocean tide loading as well as the studies related to the validation of the geophysical loadings model with the GPS measurements (Vey *et al.*, 2002; King *et al.*, 2005; Ito *et al.*, 2009; Bos *et al.*, 2015; Penna *et al.*, 2015; Alihan *et al.*, 2019).

Most of these studies used a post-processed kinematic precise point positioning (KPPP) GPS analysis. This is in contrast to the GPS's relative positioning technique which can frequently minimize or eliminate the impacts of geophysical loadings on the earth's surface displacements if the stations are relatively close to each other (Milbert, 2017). Precise point positioning is an absolute positioning method that considered the error source where it has been reduced or neglected in the relative positioning such as phase wind up error, satellite phase center offset, earth body tide, ocean tide loading and pole tide (Raziq and Collier, 2006). The independent data from the external sources such the International GPS/GNSS Service (IGS) that supplied the precise orbit, clock and polar motion parameter should be utilised in order to deal with the noise that impact the required signal of absolute positioning observations. These implement approaches un-differenced dual-frequency pseudorange and carrier phase observations with the centimeter level of precision (Héroux and Kouba, 2001; Raziq and Collier, 2006).

2.2 GPS Observations and Processing Methods

In this study, a network of GPS CORS from MyRTKnet in the year 2013 is utilised. MyRTKnet consists of 50 GPS CORS over Peninsular Malaysia and 28 stations in Sabah and Sarawak which is operated by Department of Survey and Mapping Malaysia (DSMM). However, only 70 stations can be used in this study as the other eight (8) stations had bad observations during 2013.

An open source software RTKLIB with Comment User Interface (CUI) is employed for GPS data processing. This software is compatible for scientific studies in surveying fields that require standard and precise positioning. Kinematic Precise Point Positioning (KPPP) method is used in this study in order to estimate the geophysical loadings signal in GPS observations. Otherwise, the signal would be removed or reduced if the method used is differential GPS positioning. Figure 2 shows the main workflow of GPS data processing using RTKLIB.

The GPS data processing strategies include the data preparation of the 30 second data sampling to produce the daily solution, and the 10-degree elevation of mask angle is used for an increased number of observations and reduce the multipath error due to the obstructed area. The processing of the zero-difference measurements added the parameter of the precise ephemeris (.SP3), IGS Earth Rotation Parameter (.ERP), IGS final clock with sampling rate 30s (.CLK) and Differential Code Bias (.DCB) to reduce the noise that impact on the required signal in the GPS observations. Subsequently, the measurement model is applied in the KPPP GPS processing such as the satellite and receiver antenna phase variation center (.ATX), phase windup correction, Ionosphere-free linear combination with dual frequency and estimated zenith total delays and horizontal gradient parameters for troposphere correction. The static integer ambiguities are continuously estimated and resolved using fix and hold strategy. Table 1 summarizes the KPPP GPS processing strategies.



Figure 2. Main work flow of GPS data processing using RTKLIB.

Processing Parameters	Processing Strategy
Availability Data	1 st January 2013 – 31 st December 2013
Input data	Daily
Elevation cut-off angle	10 °
Sampling rate	30 second
Ionosphere correction	Ionosphere-Free (IF) linear combination
Troposphere correction	Estimated ZTD + Grad
Satellite Ephemeris/Clock	Precise
Integer Ambiguity Resolution	Fix and Hold

Table 1. The processing strategies.

2.3 Estimation of Earth Body Tide, Ocean Tide Loading and Earth Tide based on KPPP GPS Observations

Three configurations have been used in the processing to determine the signal of earth body tide, ocean tide loading and earth tide based on the GPS observations. These configurations will be clarified as follows:

- Configuration 1: the processing strategies without applying any earth tide corrections.
- Configuration 2: the processing strategies applying earth body tide correction using IERS2003 model.
- Configuration 3: the processing strategies applying both earth body tide and ocean tide loading corrections using IERS2003 and FES2012 model.

From Configuration 1, the output processing contained all types of signals including earth body tide, ocean tide loading, atmospheric pressure loading, pole tide, non-geophysical phenomena and noise while the output of the processing from Configuration 2 consists of the signals of ocean tide loading, atmospheric pressure loading, pole tide, non-geophysical phenomena and noise. Configuration 3 contains only the signal of the atmospheric pressure loading, pole tide, non-geophysical phenomena and noise. Several parameters have been added in the processing strategies as mentioned in Section 2.2 to reduce the unwanted signal in the GPS observations.

In a discussion of geophysical loadings estimation on February 8, 2017, Wijaya stated that the earth body tide observed by the KPPP GPS is estimated by the difference of KPPP GPS solutions between Configuration 1 and Configuration 2 where these observations are obtained from the output of the earth body tide model and model error as shown in equation (1). Meanwhile the ocean tide loading derived from the KPPP GPS is determined by the difference of KPPP GPS solutions between Configuration 2 and Configuration 3 where these observations are acquired from the output of the ocean tide loading model and model error as presented in equation (2). Then, the earth tide also known as the total signal from the summation of the earth body tide and ocean tide loading based on the KPPP GPS observations shown in equation (3) (Wijaya, 2017).

$$\widehat{EBT} = \overline{EBT} + \varepsilon_{\overline{EBT}}$$
(1)

$$\widehat{OTL} = \overline{OTL} + \varepsilon_{\overline{OTL}} \tag{2}$$

$$\widehat{ET} = \widehat{EBT} + \widehat{OTL} \tag{3}$$

where

 \widehat{EBT} = earth body tide derived from KPPP GPS

OTL = ocean tide loading derived from KPPP GPS

 \overline{ET} = earth tide derived from KPPP GPS

 \overline{EBT} = earth body tide model, IERS2003

 \overline{OTL} = ocean tide loading model, FES2012

 $\mathcal{E}_{\overline{BBT}}$ = earth body tide model error

 $\mathcal{E}_{\overline{OTL}}$ = ocean tide loading model error

2.4 Evaluate the Percentage of Geophysical Loadings in GPS Observations using General Least Squares Approaches

The GPS observations consist of periodic and non-periodic signals. In this study, the periodic signals from the geophysical loadings that consist of earth body tide, ocean tide loading and earth tide is estimated based on the KPPP GPS solutions. However, the extent of the presence of this kind of the periodic signals in GPS observations is still unknown. Since all the configurations of GPS processing method that is Configuration 1, Configuration 2 and Configuration 3 accommodate both signals and errors as mentioned in the Section 2.3, the general least squares method is used to estimate the presence of the earth body tide, ocean tide loading and earth tides contained within the GPS observations.

According to Ghilani and Wolf (2006), the method of general least squares implementing the adjustment that contains more than one measurement in the observation equations. This approach proposed that both of observation data at x-axis and y-axis contain residuals when fitting the observation point on a linear line (Ghilani and Wolf, 2006). Consider that the output from Configuration 1 which consisted all types of signals, including earth body tide, ocean tide loading, atmospheric pressure loading, pole tide, non-geophysical phenomena and errors as χ and the output from Configuration 2 that contained the signals of ocean tide loading, atmospheric pressure loading, pole tide, non-geophysical phenomena and errors as γ , then the mathematical model is written as (Ghilani and Wolf, 2006):

$$F(\chi,\gamma) = (\gamma + \nu_{\gamma}) - m(\chi + \nu_{\chi}) - c = 0$$
(4)

where v_{χ} = residuals of data χ

 v_{γ} = residuals of data γ m = the gradient of the straight line c = y-axis intercept

Equation (4) is a non-linear and the unknown parameter that need to be estimated are v_{χ} , v_{γ} , *m* and *c*. The non-linear equation (4) are linearized by using Taylor series approximation as follows (Ghilani and Wolf, 2006):

$$F(\chi,\gamma) \approx F(\chi_0,\gamma_0) + \frac{\partial F}{\partial \chi} v_{\chi} + \frac{\partial F}{\partial \gamma} v_{\gamma} + \frac{\partial F}{\partial m} dm + \frac{\partial F}{\partial c} dc \qquad (5)$$

The outcome of the linearized form is:

$$\frac{\partial F}{\partial \chi} v_{\chi} + \frac{\partial F}{\partial \gamma} v_{\gamma} + \frac{\partial F}{\partial m} dm + \frac{\partial F}{\partial c} dc = -(m_0 \chi + c_0 - \gamma)$$
(6)

The partial derivatives of equation (6) are:

$$\frac{\partial F}{\partial \chi} = -m , \ \frac{\partial F}{\partial \gamma} = 1, \ \frac{\partial F}{\partial m} = -\chi, \ \frac{\partial F}{\partial c} = -1$$
(7)

Assume that there are four data points of measurements A, B, C and D, substitute equation (7) into equation (6). The following mathematical models for the four data points of measurements can be written as:

$$-m_{0}v_{\chi A} + v_{\gamma A} - \chi_{A} dm - dc = -(m_{0}\chi_{A} + c_{0} - \gamma_{A})$$

$$-m_{0}v_{\chi B} + v_{\gamma B} - \chi_{B} dm - dc = -(m_{0}\chi_{B} + c_{0} - \gamma_{B})$$

$$-m_{0}v_{\chi C} + v_{\gamma C} - \chi_{C} dm - dc = -(m_{0}\chi_{C} + c_{0} - \gamma_{C})$$

$$-m_{0}v_{\chi D} + v_{\gamma D} - \chi_{D} dm - dc = -(m_{0}\chi_{D} + c_{0} - \gamma_{D})$$
(8)

Equation (8) can be written in a matrix form as follows (Ghilani and Wolf, 2006):

$$HV + JX = K \tag{9}$$

where

$$H = \begin{bmatrix} -m_0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -m_0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -m_0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -m_0 & 1 \end{bmatrix}$$

$$, \quad J = \begin{bmatrix} -\chi_A & -1 \\ -\chi_B & -1 \\ -\chi_D & -1 \end{bmatrix}, \quad V = \begin{bmatrix} v_{\chi A} \\ v_{\gamma A} \\ v_{\chi B} \\ v_{\gamma C} \\ v_{\gamma C} \\ v_{\gamma C} \\ v_{\gamma D} \\ v_{\gamma D} \end{bmatrix}, \quad X = \begin{bmatrix} dm \\ dc \end{bmatrix}$$

$$K = \begin{bmatrix} -(m_0\chi_A + c_0 - \gamma_A) \\ -(m_0\chi_B + c_0 - \gamma_B) \\ -(m_0\chi_D + c_0 - \gamma_D) \end{bmatrix}$$

Then the equivalent matrix system is (Ghilani and Wolf, 2006):

$$J^T J X = J^T K \tag{10}$$

where the unknown parameters in matrix X can be estimated as follows:

$$X = (J^T J)^{-1} J^T K \tag{11}$$

As explained by Ghilani and Wolf, the unknown parameters in matrix X are corrected by using the initial approximations as equation is a non-linear system and this method is iterated until the value in matrix X converges. Later, the equivalent residual vector, V_{e} is estimated as follows (Ghilani and Wolf, 2006):

$$V_e = JX - K \tag{12}$$

By using equation (12), the observational residual is computed as follows:

$$V = H^T V_{\sigma} \tag{13}$$

The observations are updated corresponding to their residuals. Hence, the second iteration of the updated observations is (Ghilani and Wolf, 2006):

$$l'_i = l_i + \nu_i \tag{14}$$

where

 l'_i = the second iterations of observations l_i = the original observations v_i = the residuals of the observations

As mentioned in Section 2.3, the earth body tide derived from the KPPP GPS is estimated by the difference of KPPP GPS solutions between Configuration 1 and Configuration 2. Figure 3 indicated the fitting points of a straight line from both observations from Configuration 1 and Configuration 2 after the process from equation (4) to equation (14).



Since the output from Configuration 1 contained all types of signals while Configuration 2 consisted of all signals except earth body tide, the percentage of the other signals excluding the earth body tide, P_{other} , can be determined by applying the value of the gradient of a straight line, *m* as follows:

$$m_{21} = \frac{\Delta Configuration 2}{\Delta Configuration 1}$$
(15)

$$P_{other} = m_{21} x \, 100$$
 (16)

Then, the presence of the earth body tide, P_{EBT} can be estimated as follows:

$$P_{EBT} = (1 - m_{21}) x \, 100 \tag{17}$$

Next, the presence of ocean tide loading is determined by applying the gradient of a straight line from the observations of Configuration 2 and Configuration 3, m_{23} while the presence of

earth tide is computed based on the total percentage of presence of both earth body tide and ocean tide loading as follows:

$$P_{OTL} = (1 - m_{23}) x \, 100 \tag{18}$$

$$P_{ET} = P_{EBT} + P_{OTL} \tag{19}$$

3. THE PRESENCE OF EARTH BODY TIDE, OCEAN TIDE LOADING AND EARTH TIDE SIGNALS IN GPS OBSERVATIONS

The identification of the presence of the earth body tide, ocean tide loading and earth tide at 70 MyRTKnet stations is tabulated in Table 2 while the average of percentage of signals contained in KPPP GPS observations is charted in Figure 4 to 6. The earth body tide, ocean tide loading and earth tide have a great contribution in the KPPP GPS observations as the assessment of the presence of each signal at the MyRTKnet stations indicated that the percentage of earth body tide signals are 65% to 86% at north component, 61% to 81% at east component and 85% to 94% at up component while the percentage of ocean tide loading signals in KPPP GPS observations are 1% to 7% at north component. The percentage of other signals contained in KPPP GPS are approximately to 10% to 33 %, 17% to 36% and 4% to 12% at north, east and up component, respectively.



Figure 4. The average of presence signals in GPS observations at north component.



Figure 5. The average of presence signals in GPS observations at east component.



Figure 6. The average of presence signals in GPS observations at up component.

Based on Figure 4 to 6, the geophysical loadings that consist of both earth body tide and ocean tide loadings have a great contribution in KPPP GPS observations with 79% of earth body tide signals, 4% of ocean tide loading signals and 17% of other signals at north component, 74% of earth body tide signals, 2% of ocean tide loading signals and 24% of other signals at east component and 90% of earth body tide signals, 3% of ocean tide loading signals and 7% of other signals at up component. The average percentage of total signals of earth tide are equivalent to 83% at north component, 76% at east component and 93% at up component where the signals of earth body tide are more significant compared to ocean tide loading signals.

The earth body tide is the largest periodic motion of the earth's crust compared to ocean tide loading which it can reach in the centimeter (cm) level of displacements, about 5 cm in the horizontal plane and 20-30 cm in the up component. Meanwhile the magnitude of ocean tide loading is usually around the millimeter (mm) level of displacement and more than 1 cm can be achieved when the geodetic stations are located very close to the shore (Pagiatakis, 1988; Héroux and Kouba, 2001; Zheng, 2007; Cai, 2009; Subirana *et al.*, 2013; Alihan *et al.*, 2019). Thus, the results obtained that earth body tide signals are more significant if compared to ocean tide loading signals because the magnitude of the earth body tide is greater than that of the ocean tide loading and it affects the coordinate system particularly at up component.

Stations	North Component (%)				Eas	st Compo	nent (%	b)	Up Components (%)			
	EBT	OTL	ET	ОТ	EBT	OTL	ET	ОТ	EBT	OTL	ЕТ	ОТ
AMAN	76	4	80	20	76	3	79	21	89	4	93	7
ARAU	68	2	70	30	63	1	64	36	89	1	90	10
AYER	79	3	81	19	71	1	72	28	91	2	93	7
BABH	82	4	86	14	70	2	72	28	92	1	93	7
BAHA	74	4	78	22	67	4	71	29	89	3	92	8
BANT	82	5	87	13	74	5	79	21	89	4	93	7
BEAU	77	2	79	21	74	1	75	25	88	5	93	7
BEHR	80	4	84	16	73	3	76	24	90	3	93	7
BELU	78	2	80	20	74	1	75	25	91	3	94	6
BIN1	80	3	83	17	79	1	80	20	89	3	92	8
CAME	71	3	74	26	70	2	72	28	90	2	92	8
CENE	85	4	89	11	78	3	81	19	91	4	95	5
GAJA	80	6	86	14	74	3	77	23	91	3	94	6
GETI	86	2	89	11	78	1	79	21	92	3	95	5
GMUS	65	2	67	33	62	2	64	36	87	2	89	11
GRIK	79	3	82	18	72	1	73	27	93	1	94	6
JRNT	78	4	82	18	76	3	79	21	91	3	94	6
JUML	82	5	87	13	74	5	79	21	89	5	94	6
KAPI	78	2	80	20	78	2	80	20	91	3	94	6
KENI	82	2	84	16	79	1	80	20	89	3	92	8
KLAW	82	4	86	14	74	4	78	22	90	4	94	6
KRAI	78	3	81	19	69	1	70	30	90	2	92	8
KROM	81	7	88	12	74	4	78	22	91	4	95	5
KUAL	81	3	84	16	70	2	72	28	90	3	93	7
KUDA	82	1	83	17	76	1	77	23	90	4	94	6
KUKP	81	6	87	13	73	2	75	25	91	2	93	7
LAB1	76	2	78	22	76	1	77	23	88	3	91	9
LASA	82	3	85	15	77	1	78	22	93	1	94	6
LAWS	77	2	79	21	73	1	74	26	89	4	93	7
LGKW	82	2	84	16	73	2	75	25	94	1	95	5
MERS	82	7	89	11	77	3	80	20	91	4	95	5
MERU	75	5	80	20	66	4	70	30	89	5	94	6
MIRI	82	3	85	15	74	1	75	25	89	3	92	8
MRDI	73	2	75	25	68	1	69	31	86	3	89	11
MRDU	76	2	78	22	73	1	74	26	89	4	93	7

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MTAW	78	1	79	21	78	3	81	19	86	6	92	8
MUAD	84	5	89	11	77	4	81	19	91	3	94	6
MUKA	77	5	82	18	75	3	78	22	91	3	94	6
MUKH	85	4	89	11	79	2	81	19	92	3	95	5
NIAH	77	3	80	20	71	1	72	28	89	3	92	8
PDIC	81	4	85	15	73	5	78	22	89	5	94	6
PEKN	84	5	89	11	75	4	79	21	91	4	95	5
PRTS	78	6	84	16	61	3	64	36	88	3	91	9
PUPK	83	6	89	11	76	2	78	22	91	1	92	8
PUSI	81	4	85	15	75	2	77	23	92	1	93	7
RANA	78	2	80	20	77	1	78	22	89	4	93	7
SAND	76	2	78	22	71	1	72	28	88	5	93	7
SARA	79	5	84	16	78	3	81	19	90	3	93	7
SBKB	83	6	89	11	76	4	80	20	90	3	93	7
SEG1	79	5	84	16	71	4	75	25	90	4	94	6
SEMA	74	7	81	19	75	1	76	24	88	3	91	9
SEMP	81	2	83	17	81	2	83	17	85	7	92	8
SETI	69	2	71	29	64	1	65	35	85	3	88	12
SGPT	81	3	84	16	77	1	78	22	93	1	94	6
SIB1	78	3	81	19	77	3	80	20	90	3	93	7
SIK1	73	2	75	25	68	1	69	31	92	1	93	7
SPGR	82	7	89	11	79	3	82	18	92	4	96	4
SRIJ	82	4	86	14	76	4	80	20	91	3	94	6
TEBE	77	5	82	18	74	1	75	25	90	3	93	7
TENM	82	2	84	16	78	2	80	20	89	4	93	7
TERI	82	3	85	15	79	2	81	19	90	4	94	6
TGPG	77	7	84	16	74	2	76	24	91	3	94	6
TLKI	76	4	80	20	73	2	75	25	91	2	93	7
TLOH	86	4	90	10	76	4	80	20	91	4	95	5
TMBN	80	2	82	18	76	2	78	22	90	3	93	7
ТОКА	79	2	81	19	76	1	77	23	92	1	93	7
UMAS	76	6	82	18	72	2	74	26	89	3	92	8
UPMS	83	5	88	12	74	4	78	22	90	3	93	7
USMP	80	3	83	17	74	1	75	25	92	1	93	7
UUMK	78	2	80	20	70	1	71	29	94	1	95	5

Table 2. The percentage of presence of earth body tide (EBT), ocean tide loading (OTL), earth tide (ET) and other signals (OT) in GPS observations.

4. CONCLUDING REMARKS

The earth is an elastic body which undergoes periodic displacements due to the geophysical loadings consisting of earth body tide, ocean tide loading and earth tide. A total of 70 MyRTKnet stations that covered both Peninsular and East Malaysia during the year 2013 and the processing method of KPPP GPS is utilised to estimate the geophysical loadings of the earth body tide, ocean tide loading and earth tide and to assess the percentage of the geophysical loadings contained in the GPS observations using general least squares approaches. The findings reveal that the presence of the geophysical loadings are large significant in the GPS observations particularly at up component.

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