

ALPINE VEGETATION ECOTONE DYNAMICS IN GANGOTRI CATCHMENT USING REMOTE SENSING TECHNIQUES

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ABSTRACT:

Analysis of the satellite imagery reveals two different perspectives of the vegetation ecotone dynamics in Gangotri catchment. On one hand, there is evidence of upward shift in the alpine tree and vegetation ecotone over three decades. On the other hand, there has been densification happening at the past treeline. The time series fAPAR data of two decades from NOAA-AVHRR confirms the greening trend in the area. The density of trees in Chirbasa has gone up whereas in Bhojbasa there is no significant change in NDVI but the number of groves has increased. Near Gaumukh the vegetal activity has not shown any significant change. We found that the treeline extracted from satellite imagery has moved up about 327 ± 80 m and other vegetation line has moved up about 401 ± 77 m in three decades. The vertical rate of treeline shift is found to be 11 m/yr with reference to 1976 treeline; however, this can be 5 m/yr if past toposheet records (1924 – 45) are considered as reliable reference. However, the future IPCC scenario based bioclimatic fundamental niche modelling of the *Betula utilis* (a surrogate to alpine treeline) suggests that treeline could be moving upward with an average rate of 3 m/yr. This study not only confirms that there is an upward shift of vegetation in the alpine zone of Himalayas, but also indicate that old vegetation ecotones have grown denser

1. INTRODUCTION

The alpine life zone is globally distributed, from polar to tropical latitudes and occurs across oceanic and continental climates. Owing to the compression of thermal zones and to isolation caused by low-temperature, the alpine ecosystem usually having distinct biological communities and high level of endemism, respond very sensitively to temperature change. Also, this area being among the remaining most pristine environments on earth, least influenced by anthropogenic activities provides an ideal 'natural laboratory' for climate impact research studies.

Across the altitudinal alpine ecosystem, the number of trees per unit area often decreases from the lower to upper altitude owing to the harsher environment at higher altitudes, followed by other vegetations or grasslands. As far as defining the boundary as "treeline" or "vegetation line" is concerned, it is groups of trees, i.e. patches of uppermost undisturbed forest, connected with a line or shrubs/grassland patches connected with a line, respectively. Usually a treeline is not a clear-cut line between forest and non-forest vegetation, but a transition zone (ecotone) from dominant trees to shrubs or grassland.

1.1 Remote sensing of alpine ecotone dynamics

Remote sensing due to its synoptic view and historical records for a wide area is important tool to study alpine ecotone dynamics. In remote sensing studies of vegetation, spectral vegetation indices are normally used in monitoring vegetation density. Among all vegetation indices, normalised difference vegetation index (NDVI) is the most popular. It has been broadly used in detecting vegetation change, vegetation greenness, and vegetation activity at both landscape and global scales (Myneni *et al.*, 1997a; Kawabata *et al.*; 2001, Steven *et al.*, 2003). NDVI had good correlation with canopy cover and leaf area index and it had better

performance than single band or combined use of other bands in estimating crown closure (Xu *et al.*, 2003). Unlike the nominal land use type in classified images, NDVI has the advantage of quantifying continuous changes to vegetation within each pixel and it can distinguish between bare ground areas and partially forested areas, or densely forested areas. Density of forest can also be an indicator of changes in the ecology of treelines, which may precede any detectable movement of boundaries in remotely sensed images. Most forests in treeline areas are sparse, with low canopy coverage. A greater growth response (i.e. grew denser) in treeline forests compared to reference treeline forests makes it possible to get detected using remote sensing techniques.

Another most obvious indicators of ecological impacts of climate change are phenological changes. Phenological events like leaf initiation, leaf colouring, and leaf fall can be observed by satellites. The timing of these events is often closely related to temperature and the amount and timing of precipitation. In temperate zones an increase in temperature leads to an earlier start of the growing season and a may be a later end. The length of the growing season is expected to increase with warming (Myneni *et al.*, 1997a). Only in those places where environmental conditions like drought, flooding or large amounts of snowfall limit plant growth will an increase in temperature not immediately result in a lengthening of the growing season. Plants are flexible in adjusting the timing of their phenological events to changes in climate conditions.

The treeline is a space and time related phenomenon. When assessing treeline sensitivity and its potential response to changing environmental conditions, spatial scale plays an important role (Holtmeier & Broll, 2005). The 'treeline', defined by a certain coverage (e.g. 30% or 40%), can be mapped from satellite images or other remote sensing techniques (Rees *et al.*, 2002). The term "line" is used as a convention, because, in reality,

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al, 2007 also stated that, the annual rate of retreat could be 18m (during year 2000 – 2001), however, the long term analysis by them (1962 – 2000) indicated receding rate of 40m/yr, which is also in accordance with the Mukherjee & Sangewar, 2001.

The broadleaved thick forests are rare in this valley, but the arid element Himalayan cedar (*Cedrus deodara*) dominates the vegetated slopes up to treeline (Naithani *et al.*, 2001). The tree which reaches to the highest elevation ($\approx 4100\text{m}$ a.m.s.l.) near Bhojbasa is Indian birch (*Betula utilis*), locally known as Bhojpatra and forms the part of present-day treeline. Beginning from Gaumukh, the valley ends at Gangotri (32,00m a.m.s.l.) after 18km. The Bhagirathi passes through the heart of the valley. Chirbasa at the distance of 9km from Gangotri is the forest of stately pines at the height of 3,400m across the Chirbas Nala (stream). At Tapoban and Nandanvan the alpine meadows are found during late summer and monsoon. These alpine meadows are dominated by grass, *Artemisia*, *Juniperus*, *Ephedra* and *Salix* along with other taxa (Kar *et al.*, 2002).

2.2 Data Used

The satellite data (table 1), meteorological data from IMD (Srivastava *et al.*, 2008) and digital elevation model (DEM) data from The Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER) were used to delineate and characterise the tree and vegetation ecotones (table 2). Ground truth information collected through collaborative agencies and other ancillary datasets (table 3) were also used.

Acquisition	Platform	Sensor	Spatial Resolution
Nov.19, 1976 Oct.15, 2006	Landsat 2 IRS-P6	MSS LISS-III	57 mtr 23.5 mtr

Table 1. List of data used

Period	Product	Platform/ Sensor	Spatial / temporal Resolution
Jan.1999-Dec.2008	NDVI*	SPOT- VGT	1km / 10 Days
Jul.1981-May.2001	fAPAR	NOAA- AVHRR	16km/ Monthly
Dec.1999-Jun.2008	DEM V1.0	Terra- ASTER	30m / Once

Table 2. Satellite data Products used

2.3. Methodology

2.3.1 Alpine tree and vegetation ecotone: The study involves data selection, pre-processing, vegetation index calculation; thresholding based treeline and other vegetation line delineation and change analysis. Orthorectified and cloud free (<10%) IRS-P6 LISS-III imagery of 2006 (UTM/WSG84 projection) was used to delineate the current status of treeline and vegetation line. Orthorectified and cloud free (<10%) Landsat-2 MSS imagery of 1976 was used as reference data for change analysis. Digital numbers were converted to reflectance and an image based atmospheric correction was used for data normalization (Chavez, 1996). NDVI images were generated using these set of corrected and normalized data sets (table.1). Although the differences are relatively small, the sensors on different satellite instruments

measure somewhat different wavebands, and systematic differences may occur. Therefore, based on simulation and ground experiments conducted by Steven *et al* 2003, we used following coefficients to normalize the LISS-III data w.r.t. MSS:

Period	Parameter	Source	Resolution
1969-2005	MaxT, MinT and MeanT	NCC, IMD, Pune, India	1deg / Daily
30 years normal (1971- 2000)	District Climate Normal	NCC, IMD, Pune, India	District level / One
2010-2080	A1B Scenario : Bioclimatic Indices	Ramirez and Jarvis, 2008	1km / Decadal
1924-45	Topographic information	Survey of India Toposheet	1inch series(1:63, 360)

Table 3. Ancillary data used

$$\text{NDVI}_{\text{Landsat MSS}} = -0.020 + 1.065 * \text{NDVI}_{\text{IRS}} \quad (1)$$

NDVI used as surrogate to define the treeline and vegetation line ecotones tend to have more NDVI at treeline ecotones compared to other vegetation ecotones. The threshold NDVI values were arrived taking signatures from the known dense forest patches of Sub Alpine Forests (SAFs), and that of alpine meadows (locally known as “buggyals”). Connecting with *iso-NDVI-line* based on bi-cubic spline interpolation technique and filtering small spurious contours on the defined threshold gave us the treeline and other vegetation line. Corrections for hill shadow areas were carried out using visual editing

The care was taken to select the same time frame imageries; however, the NDVI can be biased by plant phenology. Therefore, phenologically normalised coarse treeline and vegetation line was generated using hyper-temporal SPOT-VGT data and correctness of the current ecotones was ascertained. This was achieved by extracting the time temporal normalised ecotones with standardised principal components analysis (PCA) on 108 stacked SPOT-VGT images for 3 years (2005 – 2007). Standardised PCA (Leica, 2005) essentially compressed bulk of the variability into 4 images. The uncorrelated components were ordered in the amount of variance explained in the data. The first PCA was subjected to “treeline” and “other vegetation line” extraction with similar approach described above and all current were found comparable, however, the ecotonal details were less pronounced due to coarser resolution of SPOT-VGT NDVI. Phenological correctness for past ecotones could not be ascertained due to non-availability of hyper temporal data for that time frame.

2.3.2 Change analysis: Station points at every 30m were generated on the treeline and other vegetation line ecotones. The DEM values were extracted for each station point locations. The change in ecotones were studied as a function of shift in altitude from past (1976) to current (2006) position. Equal numbers of station points generated over past and current ecotones were joined to form shift lines. The ‘from’ and ‘to’ nodes having elevational information extracted from DEM were subtracted to populate the shift values in the vector table. Surface length was also computed using DEM as a surface layer. GIS queries were

made to locate the maximum shift zones to spot the direction and magnitude of the change.

2.3.3 Uncertainty analysis: Since different sets of satellite data and products were used to extract the treeline, vegetation line and its altitude determination, the estimates are subject to inherent errors associated at each step. The vegetation index inter-conversion precision is 1 – 2 % (Steven *et al.*, 2003). Pre-production estimated accuracies for the ASTER global DEM product were 20m for vertical data and 30m for horizontal data at 95% confidence level (web1). Here, both horizontal and vertical errors in the DEM can contribute to vertical errors in the position of the treeline, therefore mapping accuracy (RMS error) of the treeline were used to find out the effect on vertical errors. Vertical errors due to horizontal treeline mapping errors were taken into account in relation to each pixel slope (in degrees) on the treeline. The vertical error of DEM was combined with the vertical errors due to horizontal misalignment in both past and current treeline separately. This was achieved by calculating the quadratic sum of the errors. These errors were then used to calculate the overall uncertainty in alpine treeline shift.

2.3.4 Greening trend and phenological dynamics: The overall greening trend was studied for 2 decades using monthly fAPAR product (Myneni *et al* 1997b). The specific greening trend was also studied using 10 days composite data of SPOT-VGT NDVI for 1 decade (<http://free.vgt.vito.be>). Stratified samples were taken along each category (past and current treeline, past and current vegetation line) with elevation, aspect and slope criterions with AOI of $\approx 1 \text{ km}^2$. The SPOT-VGT NDVI was also used for studying the phenological dynamics. For smoothing of time-series and to get a good estimate of the phenological parameters, TIMESAT (Jönsson & Eklund, 2004) was used. The Savitzky-Golay curve follows the NDVI data better, than the asymmetric Gaussian curve therefore hereby preferred. Starting from the left base level or minimum, the beginning of a season were defined from the filtered or fitted functions as the point in time for which the value has risen by a certain amount; here it was set to inflection point of the distance between the left base level and the maximum. The end of the season was defined in a similar way.

2.3.5 Fundamental niche modelling: The studies so far conducted strongly indicate that the alpine world is facing major changes despite the modest increase in temperature. The knowledge generated by the current monitoring system is a precondition for models that predict the impact of a possibly warmer future. To ascertain the future rate of change in treeline we used known *Betula utilis* locations and trained the Genetic Algorithm for Rule-set Production: GARP, an environmental niche model (Stockwell & Peters, 1999) on the bioclimatic indices (Hijmans *et al.*, 2005) for future projections based on IPCC scenarios (Ramirez & Jarvis, 2008).

3. RESULTS AND DISCUSSION

3.1 Change in treeline and vegetation line

The treeline in study zone is confined between $30^{\circ}55'32.3'' \text{ N}$ – $31^{\circ}01'36'' \text{ N}$ (lat.) and $78^{\circ}56'6.5'' \text{ E}$ – $79^{\circ}06'24.5'' \text{ E}$ (long.). The digital comparison of the treeline between year 1976 and 2006 found that it has changed in the past 3 decades (figure 2). All forested cells along the past treeline ecotone were still forested in

2006 with same or increased NDVI while certain non forested pixels in 1976 are converted to forest in 2006. The treeline had shown about $327 \pm 80 \text{ m}$ and other vegetation line had shown about $401 \pm 77 \text{ m}$ of upward shift in the past 3 decades (table 4). The changes in geographical area under the different ecotones are also significant (table 5).

S.N.	Ecotone	Mean Alt. (1976)	Mean Alt. (2006)	Change
1.	Treeline	4120	4447	327
2.	Vegetation line	4933	5334	401

Table 4. Altitudinal position of ecotones (in metres)

S.N.	Ecotone	Area (1976)	Area (2006)	Change
1.	Treeline	20.41	26.74	6.33
2.	Vegetation line	46.29	55.35	9.06

Table 5. Treeline and vegetation line area (in km^2)

3.2 Greening trend

The mean fAPAR data showed the greening trend (figure 3) at the treeline transition zone. A significant positive trend in mean fAPAR in 20 years has been observed. The slope was 0.004 per year. Ring-width chronology of *Pinus wallichiana* (AD 1650–1999) in Gangotri (Singh & Yadav, 2000) also confirms that there has been rapid greening especially in past three to four decades. SPOT-VGT based results indicated that during growing season (May–Nov) along rising elevation the greening trend is slowly diminishing. It was also found that near past and current treeline as well as near past vegetation line there is greening trend. The points studied near current vegetation line do not show significant greening trend. Each sample point gained an average of 0.10 (standard deviation, σ 0.03) of NDVI in 10 years (figure 4). However, Landsat-MSS and IRS-LISS-III NDVI change in 30 years has been 0.10 to 0.20 (σ 0.06).

Relative to NDVI in each point, the σ was smaller in higher altitudes, suggesting that vegetations (meadows) are evenly-distributed and major disturbances have been absent during this period. During 3 decades, the sparse stands at past treeline transformed into closed stands (NDVI increasing from 0.20 to ≥ 0.50), with existing closed stands increasing in area and advancing their upper border. The density of trees in Chirbasa (NDVI 0.1 to 0.3) has gone up whereas in Bhojbasa there is no significant change but the number of groves has increased. The 10 years NDVI data of SPOT-VGT also confirms the same. Near Gaumukh the vegetal activity has not shown any significant change and the same has been confirmed from the NDVI time series data of 10 years. Moreover, the NDVI over the past treeline and past vegetation line are showing greening trend as compared to the reference NDVI, indicating that growth of the treeline apparently exceeded that of the reference treeline and had grown denser. The result shows that the treeline is advancing to somewhat higher elevations in response to the climatic amelioration. It was also found that these changes correlated positively with temperature trends (figure 5).

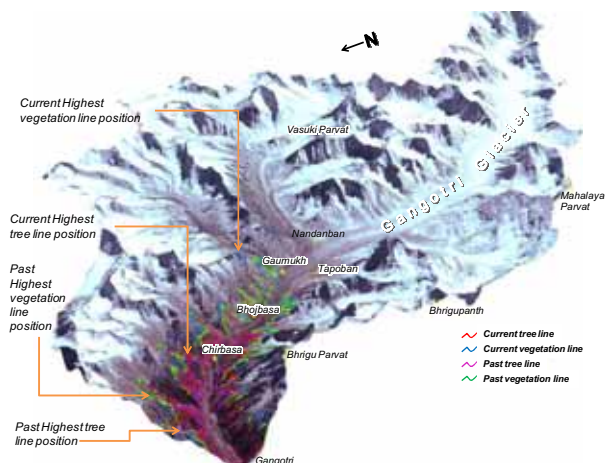


Figure 2. Perspective view showing the position of treeline and vegetation line (current/past) over IRS-P6-LISS-III FCC.

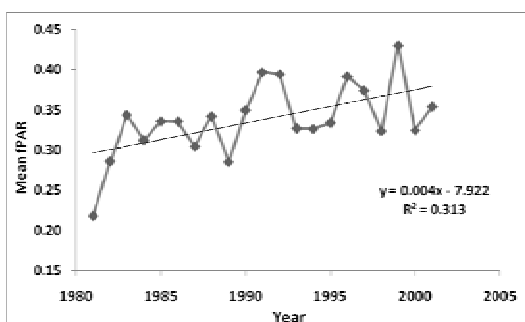


Figure 3. Mean fAPAR in the past decades (1981 to 2001) in Gangotri region.

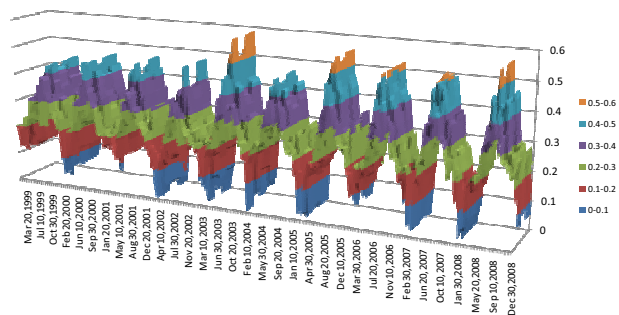


Figure 4. Treeline NDVI time series of 10 years.

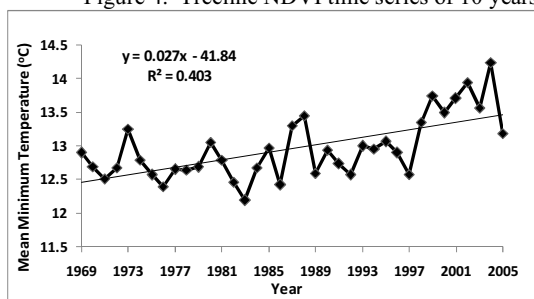


Figure 5. Mean Minimum temperature (°C) trend in the past decades in Gangotri region. (data Source: National Climate Centre, India Meteorological Dept., Pune, Srivastava *et al* 2008)

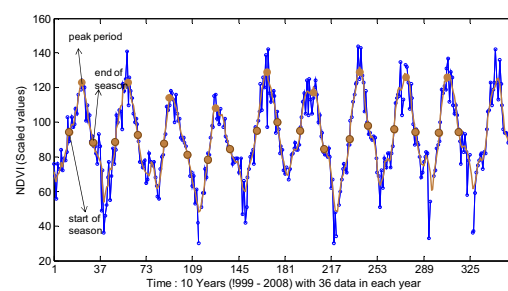


Figure 6. Scaled NDVI values for 10 years (1999 – 2008) from SPOT-VGT over treeline (Mean Altitude 3282m) in Gangotri. Time is in 10 day steps. [NDVI = scaled value * 0.004 - 0.1]

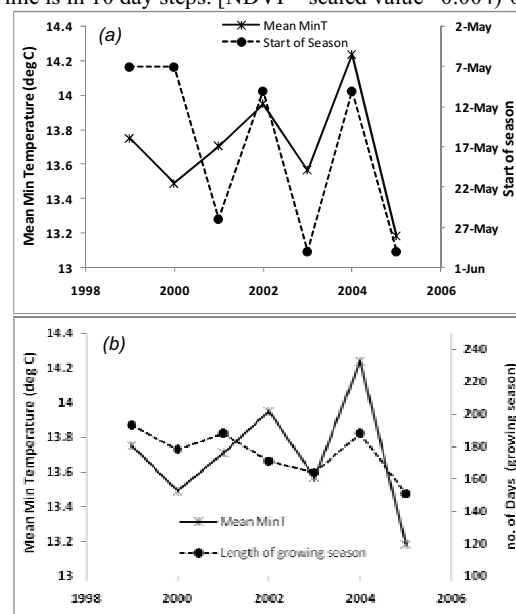


Figure 7. (a): Start of the season (from NDVI time series) Vs the Mean Min annual temp. [$r^2 = -0.60$]. (b): Growing season Vs Mean Min. annual temp.: [$r^2 = 0.66$]

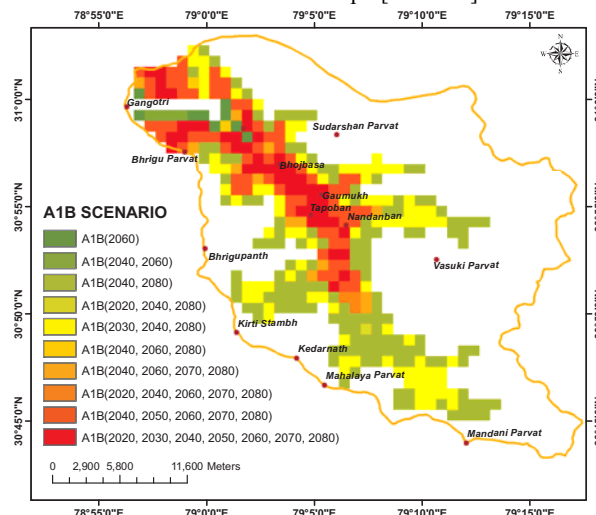


Figure 8. IPCC scenario (A1B) based projected future fundamental environmental niche of *Betula utilis*.

3.3 Phenological dynamics

Figure 6 shows scaled Savitzky-Golay filtered NDVI data over a past treeline to current treeline transition zone in Gangotri area. This clearly indicates that, the area is dominated by a one seasonal (*uni*-modal) cycle. The beginnings and ends of seasons were located fairly close to each other in all the years. Near the treeline the season starts in May and ends in November with peak period in August (NDVI: 0.33 – 0.43). The variability in the seasonality parameters can be explained by meteorological data. Moisture availability is unlikely to be much significant constraint on plant growth as the district normal precipitation ranges from 10.7mm in October to 455.4mm in August with rainy days varying from 1 to 19 in October and August, respectively. It was found that, the starting date of the season fluctuates with the changing temperature in the treeline area. The increase in mean minimum temperature starts the greening season early and has bearing on the length of growing season with correlation coefficient of 0.66 (figure 7(b)). Initially, the start of the season is not in accordance with the general trend (figure 7(a)), may be due to increasing winter precipitation on the starting date of the growing season. The seasonality of the vegetation in this area, however, is well developed and can be explained with meteorological variables.

3.4 Future scenario of alpine ecotone and change dynamics

Betula utilis being the treeline species in this region was selected for GARP based fundamental environmental niche modelling using 19 bioclimatic indices generated for A1B scenarios for 2020 to 2080 at every decade. The analysis of the output (figure 8) shows that the treeline is expected to go further up to 5914m in 2080 as compared to 5347 in year 2010. The average shift from reference year 2010 shows that the fundamental niche of *Betula utilis* can move upward by 184m in 7 decades. This shows that the treeline could be moving upward with a modest average rate of 3m/yr, if all other conditions of germination and survival are found favourable.

The treeline found in year 1976 at 4120m height is also confirmed by the toposheet, which was compiled using the one inch series toposheets surveyed during 1924 – 45. Looking at this, the conservative estimate of the vertical rate of treeline shift could be 5m/yr. However, keeping the reference as year 1976 from this remote sensing based study the rate of change is found to be 11m/yr. The observed rate of upward shift of pine in this region is higher in comparison to other species recorded in Alps and elsewhere. This fact is also supported earlier by Dubey *et al.*, 2003. This is presumably a phenomenon noted in most of the alpine treeline zones in the world, but we cannot neglect the interference of human activities particularly to this glacier. The rate of change is higher than other alpine areas may be due to the increased anthropogenic activities (high influx of tourists, pilgrims, mountaineers and trekkers) in this area. Enhanced treeline growth results concluded in this study is in agreement with previous research carried out in Russia (Gorchakovskiy & Shiyatov, 1978), Sweden (Kullman, 1990), Canada (Danby & Hik, 2007), China (Zhang, 2008), Norway (Aas, 1969) and Indian Himalaya (Chauhan *et al.*, 2000, Panigrahy *et al.*, 2010).

4. CONCLUSION

Analysis of the satellite imagery reveals two different perspectives of the vegetation ecotone dynamics in Gangotri catchment. On one hand, there is evidence of a shift in the treeline in 3 decades. On the other hand, the absolute NDVI value of the past treeline has also increased. The leaf phenology of the area is found controlled with the known drivers of climatic parameters and forms the basis for future investigations on these aspects.

The treeline extracted from satellite imagery has shown about 327±80m and other vegetation line has shown about 401±77m of upward altitudinal mean shift in three decades. The vertical rate of treeline shift is found to be 11m/yr with reference to 1976 treeline; however, this can be 5m/yr if past toposheet records (1924 – 45) are considered as reliable reference. However, the future bioclimatic fundamental niche modelling of the *Betula utilis* species (as a surrogate to treeline) shows that treeline could be moving upward with a rate of 3m/yr.

Climatic warming is likely to lead to different impacts on these various vegetation types along the elevation gradient. The scope of expansion of canopies in past treeline is going to be limited, and increment of NDVI resulting from canopy growth is also expected to be minor. However, the sparse birch forest can rapidly expand its canopy coverage through invading open areas or expanding the canopy within existing stands given a favourable climate change. In fact, the treeline forest may benefit more from global warming than the mature forests in the lower altitudes because the treeline forest is more limited by temperature.

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